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# Avelumab, an Anti–Programmed Death-Ligand 1 Antibody, In Patients With Refractory Metastatic Urothelial Carcinoma: Results From a Multicenter, Phase Ib Study

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## ABSTRACT

### Purpose

We assessed the safety and antitumor activity of avelumab, a fully human anti–programmed death-ligand 1 (PD-L1) IgG1 antibody, in patients with refractory metastatic urothelial carcinoma.

### Methods

In this phase Ib, multicenter, expansion cohort, patients with urothelial carcinoma progressing after platinum-based chemotherapy and unselected for PD-L1 expression received avelumab 10 mg/kg intravenously every 2 weeks. The primary objectives were safety and tolerability. Secondary objectives included confirmed objective response rate (Response Evaluation Criteria in Solid Tumors [RECIST] version 1.1), progression-free survival, overall survival (OS), and PD-L1–associated clinical activity. PD-L1 positivity was defined as expression by immunohistochemistry on  $\geq 5\%$  of tumor cells.

### Results

Forty-four patients were treated with avelumab and followed for a median of 16.5 months (interquartile range, 15.8 to 16.7 months). The data cutoff was March 19, 2016. The most frequent treatment-related adverse events of any grade were fatigue/asthenia (31.8%), infusion-related reaction (20.5%), and nausea (11.4%). Grades 3 to 4 treatment-related adverse events occurred in three patients (6.8%) and included asthenia, AST elevation, creatine phosphokinase elevation, and decreased appetite. The confirmed objective response rate by independent central review was 18.2% (95% CI, 8.2% to 32.7%; five complete responses and three partial responses). The median duration of response was not reached (95% CI, 12.1 weeks to not estimable), and responses were ongoing in six patients (75.0%), including four of five complete responses. Seven of eight responding patients had PD-L1–positive tumors. The median progression-free survival was 11.6 weeks (95% CI, 6.1 to 17.4 weeks); the median OS was 13.7 months (95% CI, 8.5 months to not estimable), with a 12-month OS rate of 54.3% (95% CI, 37.9% to 68.1%).

### Conclusion

Avelumab was well tolerated and associated with durable responses and prolonged survival in patients with refractory metastatic UC.

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## INTRODUCTION

Urothelial carcinoma of the bladder is a leading cause of cancer deaths worldwide, with an estimated 429,800 new cases reported in 2012.<sup>1</sup> Patients with metastatic urothelial carcinoma are typically treated with cisplatin-based combination chemotherapy in the first-line setting, with a median overall survival (OS) of 14 to 15 months.<sup>2,3</sup> Prognostic factors associated with shorter OS

include poor performance status, visceral metastasis, and low albumin or hemoglobin level.<sup>4</sup> Second-line chemotherapies, such as paclitaxel, pemetrexed, docetaxel, and vinflunine, have limited efficacy, with median survival of approximately 7 months.<sup>5-8</sup>

Immunotherapy with immune checkpoint inhibitors, particularly agents targeting the programmed death-ligand 1/programmed death-1 (PD-L1/PD-1) axis, has improved treatment outcomes in several tumor types.<sup>9</sup> Immunotherapy

### ASSOCIATED CONTENT



See accompanying Oncology Grand Rounds on page 2109



Data Supplement  
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for urothelial carcinoma began in 1990 with approval of the intravesical bacillus Calmette-Guérin vaccine for non-muscle-invasive disease.<sup>10,11</sup> The rationale for assessing immune checkpoint inhibitors in advanced urothelial cancer is supported by a high prevalence of tumor somatic mutations,<sup>12</sup> which may generate neoantigens that are recognized by activated antitumor T cells.<sup>13,14</sup> Such mutational signatures have been shown to correlate with response to PD-L1/PD-1 antibodies in a range of advanced solid tumors, including metastatic urothelial carcinoma.<sup>13,15</sup> Atezolizumab, an anti-PD-L1 agent, showed clinical activity in patients with locally advanced or metastatic urothelial carcinoma after platinum-based chemotherapy in a single-arm, phase II trial,<sup>16</sup> which led to its approval in the United States.<sup>17</sup> Although levels of PD-L1 expression on tumors and infiltrating lymphocytes in the tumor microenvironment have been associated with response to atezolizumab in urothelial carcinoma in the second-line setting, the role of PD-L1 as a predictive biomarker remains unclear.<sup>18,19</sup>

Avelumab (MSB0010718C) is an investigational fully human anti-PD-L1 IgG1 antibody that inhibits PD-1/PD-L1 interactions while leaving the PD-1/PD-L2 pathway intact<sup>20</sup> and enhances immune activation against tumor cells, as shown in preclinical studies.<sup>21,22</sup> Unlike other anti-PD-L1/PD-1 antibodies that are approved or in advanced clinical development, avelumab induces lysis of tumor cells via antibody-dependent cell-mediated cytotoxicity in vitro, suggesting an additional mechanism of action.<sup>23</sup> Importantly, avelumab has not shown antibody-dependent cell-mediated cytotoxicity against immune cell subsets in humans.<sup>21,24</sup> A large, international, multicohort, phase I study was conducted to assess the safety and clinical activity of avelumab in patients with refractory advanced solid tumors. In the dose-escalation part of the study, intravenous infusion of avelumab every 2 weeks was safe and had a predictable pharmacokinetic profile at doses ≤ 20 mg/kg.<sup>20</sup> The 10 mg/kg dose was selected for study in phase Ib expansion cohorts in a range of tumor types. Herein, we describe results in a dose-expansion cohort of patients with metastatic urothelial carcinoma.

**METHODS**

**Study Design and Participants**

JAVELIN Solid Tumor is an ongoing, phase I, open-label, multiple ascending-dose trial designed to investigate the safety, tolerability, pharmacokinetics, and biologic and clinical activity of avelumab in patients with metastatic or locally advanced solid tumors, with expansion in selected tumor types. In this phase Ib dose-expansion cohort, eligible patients had metastatic urothelial carcinoma of the renal pelvis, ureter, urinary bladder, or urethra, as confirmed by histology or cytology. Eligible patients were required to have relapsed, refractory, or progressive disease, measurable by Response Evaluation Criteria in Solid Tumors (RECIST) version 1.1,<sup>25</sup> following at least one previous line of treatment. The enrollment of cisplatin-ineligible patients (as a result of impaired renal function, hearing loss of 25 dB over two contiguous frequencies, or grade ≥ 2 peripheral neuropathy) was permitted after protocol amendment on November 19, 2014.

Other eligibility criteria included age ≥ 18 years; life expectancy ≥ 3 months; Eastern Cooperative Oncology Group performance status of 0-1; and adequate hepatic, renal (creatinine clearance > 30 mL/min per the Cockcroft-Gault formula or measured 24-hour creatinine clearance), and hematologic functions. Patients were not preselected on the basis of

biomarkers, including PD-L1 expression on tumor cells or tumor-associated immune cells. Concurrent anticancer treatment, immunosuppressive or hormonal agents, or prior therapy with any antibody/drug

**Table 1.** Select Baseline Characteristics

Characteristic	N = 44
Median age, years (IQR)	68.0 (63.0-73.0)
Age category, no. (%)	
< 65 years	11 (25.0)
≥ 65 years	33 (75.0)
Sex, no. (%)	
Male	30 (68.2)
Female	14 (31.8)
Race or ethnic group, no. (%)	
White	39 (88.6)
Black or African American	2 (4.5)
Asian	2 (4.5)
Native Hawaiian or other Pacific Islander	1 (2.3)
Geographic region, no. (%)	
United States	38 (86.4)
Europe	6 (13.6)
ECOG PS, no. (%)	
0	19 (43.2)
1	25 (56.8)
Subsite of tumor, no. (%)	
Upper tract (renal pelvis or ureter)	7 (15.9)
Lower tract (bladder or urethra)	37 (84.1)
Visceral (nonlymph node) metastasis, no. (%)*	
Present	33 (75.0)
Absent	11 (25.0)
Smoking history, no. (%)	
Never smoked	22 (50.0)
Ever smoked	22 (50.0)
Median time since first diagnosis, months (IQR)	20.4 (11.6-46.6)
Median time since diagnosis of metastatic disease, months (IQR)	14.2 (7.8-22.2)†
Eligibility status for prior platinum-based therapy, no. (%)	
Yes	44 (100.0)
No	0
No. of prior anticancer lines for advanced disease, no. (%)	
≤ 1	20 (45.5)
2	14 (31.8)
≥ 3	10 (22.7)
Median, IQR	2, 1-2
PD-L1-positive expression status, no. (%)‡	
≥ 1% tumor cells	14 (31.8)
≥ 5% tumor cells	13 (29.5)
≥ 25% tumor cells	5 (11.4)
≥ 10% tumor-associated immune cells	2 (4.5)
PD-L1-negative expression status, no. (%)‡	
≥ 1% tumor cells	23 (52.3)
≥ 5% tumor cells	24 (54.5)
≥ 25% tumor cells	32 (72.7)
≥ 10% tumor-associated immune cells	35 (79.5)

Abbreviations: ECOG PS, Eastern Cooperative Oncology Group performance status; IQR, interquartile range; PD-L1, programmed death-ligand 1.  
 \*Lung, liver, bone, or other nonlymph node metastasis.  
 †Forty-three patients had data for median time since diagnosis of metastatic disease.  
 ‡Thirty-seven patients were evaluable for PD-L1 expression. Nonevaluable specimens (n = 7) included those that were missing, of poor quality, or otherwise not available to provide results.

targeting T-cell coregulatory proteins were not permitted. Patients with adrenal insufficiency could continue corticosteroids or topical steroids; patients were tapered off all other steroids and immunosuppressive agents before receiving study treatment (Data Supplement provides full inclusion and exclusion criteria).

The study protocol (Clinical Trials.gov identifier: NCT01772004) was approved by the institutional review board or independent ethics committee at each center, and patients were enrolled per international standards of good clinical practice and institutional safety monitoring. Patients or their representatives provided written informed consent before study entry, and all investigators signed Good Clinical Practice compliance forms.

**Procedures**

Patients received avelumab (EMD Serono, Rockland, MA) 10 mg/kg by 1-hour intravenous infusion once every 2 weeks until the criterion for withdrawal occurred. Adverse events (AEs) were coded per Medical Dictionary for Regulatory Activities terminology. The severity of an AE was classified according to the National Cancer Institute Common Terminology Criteria for Adverse Events version 4.0.

Immune-related AEs and infusion-related reactions were of special interest. Potential immune-related AEs were identified using a prespecified list of Medical Dictionary for Regulatory Activities search terms followed by medical review. An infusion-related reaction was classified by investigators as an AE in patients who had signs and symptoms of a potential infusion-related reaction (eg, fever, chills, or rigors) on the day of treatment or the next day. All patients were premedicated with an antihistamine and acetaminophen (Data Supplement). Dose reductions were not permitted; however, interruptions that resulted in < 90% of the planned dose were considered dose reductions. Dose delays were specified following the first occurrence of a grade 2 treatment-related adverse event (TRAE) that did not resolve to grade ≤ 1 by the last day in a treatment cycle.

Avelumab treatment was permanently discontinued following any grade 3 or 4 TRAE except for single laboratory values out of normal range that were considered unlikely to be as a result of trial treatment, did not have any clinical correlate, and resolved to grade ≤ 1 within 7 days with medical management. Other exceptions were transient (≤ 6 hours) grade 3 flu-like symptoms or fever controlled with medical management; grade 3

fatigue, local reactions, headache, nausea, or emesis lasting ≤ 24 hours; grade ≥ 3 amylase or lipase abnormality not associated with symptoms or clinical manifestations of pancreatitis; tumor flare phenomena (local pain, irritation, or rash at site of tumor); and increase in Eastern Cooperative Oncology Group performance status to ≥ 3.

Clinical activity was assessed by cross-sectional imaging (computed tomography or magnetic resonance imaging) performed at baseline and every 6 weeks and evaluated using RECIST version 1.1 to determine best overall response and duration of response from the start of treatment until documented disease progression. Images were reviewed locally by the investigator and centrally by a blinded independent review committee. Stable disease at the first postbaseline tumor assessment after 6 weeks was required to qualify for a best response of stable disease.

PD-L1 expression in archived or fresh tumor biopsies was assessed independently and blinded to any clinical data by immunohistochemistry using a proprietary assay (Dako, Carpinteria, CA) on the basis of an anti-PD-L1 rabbit monoclonal antibody clone (73-10), under license to Merck KGaA. Tumors were categorized on the basis of quantity and intensity of PD-L1 staining using percentage thresholds of ≥ 1% (any intensity), ≥ 5% (any intensity), or ≥ 25% (≥ 2+ staining intensity) in tumor cell membranes, and ≥ 10% in hotspots of tumor-associated immune cells (any intensity). Tumor-associated immune cells were identified as nonmalignant cells on the basis of conventional morphologic features detected in the PD-L1-stained section.

**Outcomes**

The primary objective was to assess the safety and tolerability of avelumab, and the primary end point was occurrence of dose-limiting toxicities during the first 3 weeks of treatment in the initial dose-escalation part of the trial; these data are reported elsewhere.<sup>20</sup> Key secondary end points included best overall response according to RECIST by investigator assessment and independent review committee, duration of response, progression-free survival (PFS), OS, and evaluation of PD-L1 expression. Other secondary end points (pharmacokinetic and pharmacodynamic profile and immunogenicity of avelumab) will be analyzed across multiple cohorts of this phase Ib study and reported elsewhere. Subgroup analyses on the basis of patient and disease characteristics at baseline were conducted post hoc.

**Table 2.** Treatment-Related Adverse Events Occurring At Any Grade in > 5% of Patients, or Grade ≥ 3 in Any Patient, or Classified As Immune Related in Any Patient

Adverse Events Among Patients, N = 44	Any Grade, No. (%)	Grades 1 to 2, No. (%)	Grade 3, No. (%)	Grade 4, No. (%)
Any event	29 (65.9)	26 (59.1)	2 (4.5)	1 (2.3)
Fatigue	9 (20.5)	9 (20.5)	0	0
Infusion-related reaction*	9 (20.5)	9 (20.5)	0	0
Asthenia	5 (11.4)	4 (9.1)	1 (2.3)	0
Nausea	5 (11.4)	5 (11.4)	0	0
Diarrhea	4 (9.1)	4 (9.1)	0	0
Rash†	4 (9.1)	4 (9.1)	0	0
Hypothyroidism‡	3 (6.8)	3 (6.8)	0	0
Pruritus‡	3 (6.8)	3 (6.8)	0	0
Decreased appetite	2 (4.5)	1 (2.3)	1 (2.3)	0
Elevated AST‡	2 (4.5)	1 (2.3)	1 (2.3)	0
Elevated CPK	1 (2.3)	0	0	1 (2.3)
Elevated ALT‡	1 (2.3)	1 (2.3)	0	0
Pneumonitis‡	1 (2.3)	1 (2.3)	0	0
Rheumatoid arthritis‡	1 (2.3)	1 (2.3)	0	0
Uveitis‡	1 (2.3)	1 (2.3)	0	0

Abbreviation: CPK, creatine phosphokinase.

\*Signs and symptoms of a potential infusion-related reaction (eg, fever, chills, or rigors) reported on the day of infusion were queried with investigators to ascertain whether an adverse event of "infusion-related reaction" should be recorded.

†Adverse event types that were considered to be potentially immune related. Rash was classified as immune related by medical review in three patients, and elevated AST (grade 3) and pruritus were classified as immune related in one patient each. All other adverse events marked with the dagger symbol (†) were classified as immune related for all cases shown in the table. Rash includes preferred terms rash, rash maculopapular, rash pruritic, and rash erythematous.

## Statistical Analyses

The enrollment of approximately 50 patients was planned in this cohort. Safety and clinical activity were analyzed in all patients who received at least one dose of avelumab. The study was designed to estimate the objective response rate (ORR; proportion of patients with a confirmed best response of complete or partial response) using 95% Clopper-Pearson CIs. Time-to-event outcomes, such as duration of response, PFS, and OS, were estimated using Kaplan-Meier methodology, and corresponding CIs were calculated using Brookmeyer-Crowley methodology. Because of favorable preliminary clinical activity, enrollment was stopped after 44 patients to open a larger urothelial cohort with an additional primary end point of best overall response according to independent central review per RECIST within the same multicohort study.

## Role of the Funding Source

The sponsor, Merck KGaA (Darmstadt, Germany), provided the study drug and helped investigators design the trial, collect and analyze data, and interpret results. All authors participated fully in the development of the manuscript for publication. Funding for a professional medical writer with access to the data was provided by the sponsor and Pfizer (New York, NY), for initial drafts of the manuscript.

## RESULTS

Between September 3, 2014, and December 9, 2014, 66 patients were screened. Of these, 44 patients with metastatic urothelial carcinoma that had progressed following platinum-based chemotherapy were enrolled and treated at 24 sites in the United States and Europe (Data Supplement). No cisplatin-ineligible patients were enrolled. At the time of data cutoff on March 19, 2016, patients had been followed for a median of 16.5 months (interquartile range [IQR], 15.8-16.7 months) and a minimum of 15.0 months; six of 44 patients (13.6%) remained on treatment. Disease progression was the most common reason for discontinuation (31 patients [70.5%]; Data Supplement).

The median age of patients was 68.0 years (IQR, 63.0-73.0 years); 30 of 44 patients (68.2%) were male (Table 1). The subsite of the primary tumor was in the lower tract (bladder or urethra) in 37 patients (84.1%) and the upper urinary tract (renal pelvis or ureter) in seven patients (15.9%). Thirty-three patients (75.0%) had visceral metastases (defined as lung, liver, bone, or other nonlymph node sites).

All patients were eligible for platinum-based chemotherapy and had been treated with at least one prior line of systemic therapy (Data Supplement). Twenty-four patients (54.5%) had received at least two prior anticancer regimens for advanced disease (Table 1).

Specimens from 37 patients (84.1%) were evaluable for PD-L1 expression (Table 1 and Data Supplement). Across all expression thresholds, most tumors were PD-L1– on the basis of assessment of tumor cells or tumor-associated immune cells. Using a  $\geq 5\%$  staining threshold in tumor cells, 13 patients (29.5%) had PD-L1–positive tumors.

Patients received a median of seven doses of avelumab (IQR, 3-17 doses) for a median duration of 14.1 weeks (IQR, 6.0-35.1 weeks). Dosing was delayed in 17 of 44 patients (38.6%), for 3-6 days in four patients (9.1%), and for  $\geq 7$  days in 13 patients (29.5%). The planned dose was reduced once in one patient (2.3%).

All patients had an AE (Data Supplement); 29 of 44 (65.9%) had a TRAE (Table 2 and Data Supplement). The most common TRAEs of any grade were fatigue ( $n = 9$  [20.5%]), infusion-related reaction ( $n = 9$  [20.5%]), asthenia ( $n = 5$  [11.4%]), and nausea ( $n = 5$  [11.4%]). Symptoms of infusion-related reactions included chills/rigors, fever, hypertension, headache, flushing, dizziness, sweating, and leg cramps within 24 hours of infusion. Treatment modifications following infusion-related reactions were as follows: grade 1, infusion rate decreased by 50% with close monitoring for worsening; and grade 2, infusion stopped and resumed at 50% of the previous rate after resolution or decrease to grade 1, with close monitoring for worsening.

Four grade 3 to 4 TRAEs occurred in three patients (6.8%): grade 4 elevated blood creatine phosphokinase and grade 3 AST elevation (both events occurred in the same patient, who also experienced grade 2 hypothyroidism), grade 3 asthenia, and grade 3 decreased appetite. Nineteen of 44 patients (43.2%) had a serious AE (Data Supplement), considered a TRAE in two patients: elevated creatine phosphokinase and AST (same patient) and asthenia. Avelumab was permanently discontinued in four patients (9.1%) following a TRAE: grade 3 elevated AST, grade 2 infusion-related reaction, grade 2 uveitis, and grade 2 arthralgia, respectively. No treatment-related renal toxicities were reported. Nine patients (20.5%) had a TRAE of any grade that was potentially immune related, most commonly hypothyroidism ( $n = 3$  [6.8%]; Table 2). There were no treatment-related deaths. Seven deaths (15.9%) occurred that were not treatment-related, with six (13.6%) as a result of disease progression and one (2.3%) attributed to respiratory failure as a result of disease progression.

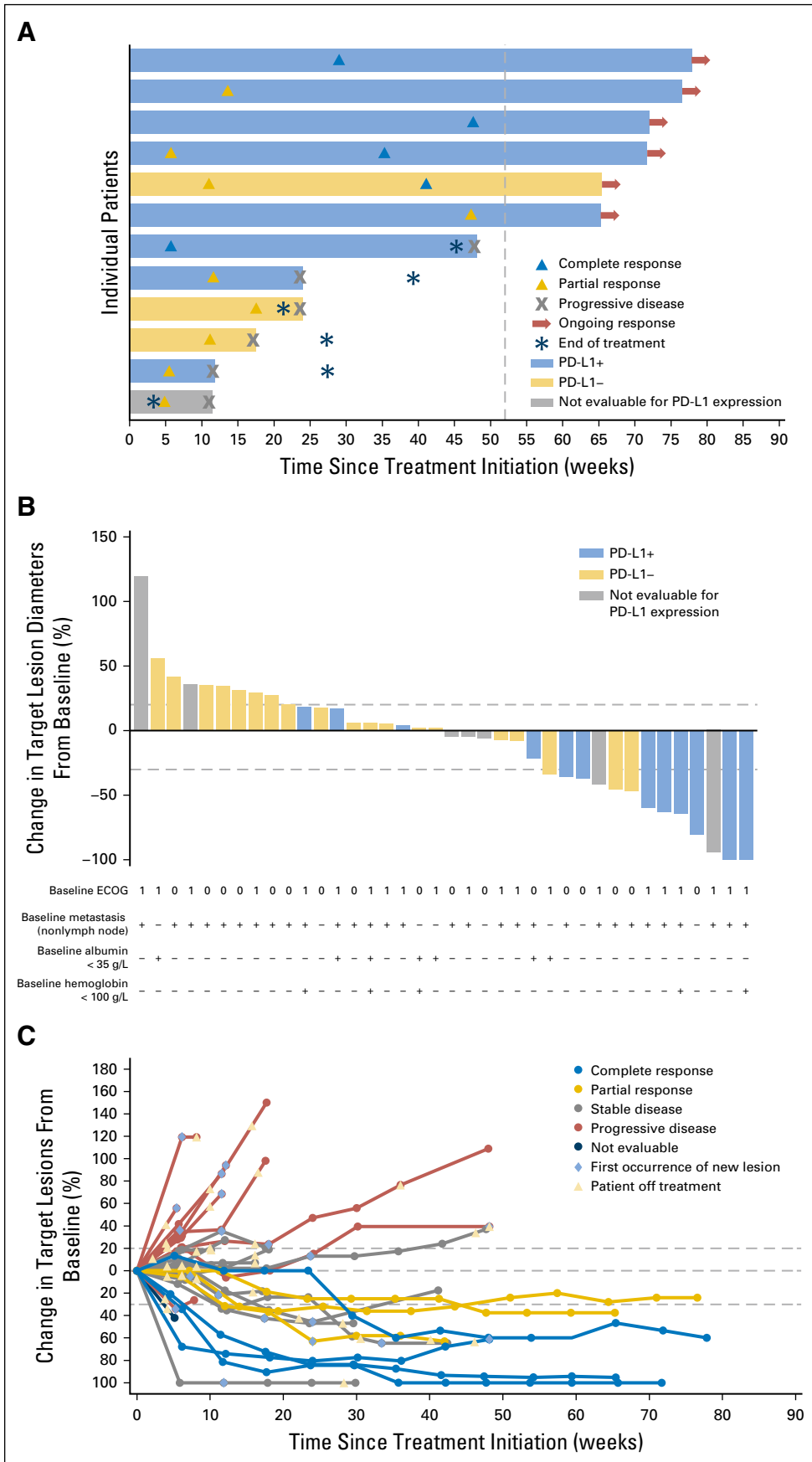
On the basis of independent central review assessment, treatment with avelumab resulted in a confirmed ORR of 18.2% (95% CI, 8.2 to 32.7) and a disease control rate of 52.3%, including five patients (11.4%) with a complete response, three patients (6.8%) with a partial response, and 15 patients (34.1%) with stable disease as best response (Table 3 and Fig 1A). Five of the eight responding patients (62.5%) had visceral (nonlymph node) metastases (Fig 1B). The unconfirmed ORR was 27.3% (95% CI, 15.0

**Table 3.** Clinical Activity of Avelumab

Clinical Activity End Point	Avelumab (N = 44), No. (%)
Confirmed best response, no. (%)	
Complete response	5 (11.4)
Partial response	3 (6.8)
Stable disease	15 (34.1)
Progressive disease	15 (34.1)
Nonevaluable*	6 (13.6)
Confirmed ORR, % (95% CI)	18.2 (8.2 to 32.7)
Disease control rate, %	52.3
Median PFS, weeks (95% CI)	11.6 (6.1 to 17.4)
PFS rate at 48 weeks, % (95% CI)	19.1 (8.5 to 32.8)
Median OS, months (95% CI)	13.7 (8.5 to ne)
OS rate at 12 months, % (95% CI)	54.3 (37.9 to 68.1)

Abbreviations: ne, not estimable; ORR, overall response rate; OS, overall survival; PFS, progression-free survival.

\*Missing and/or not assessable information: five patients had no postbaseline tumor assessment (four patients died within 6 weeks and one withdrew from the study), and one patient who initiated a new anticancer therapy before the first postbaseline assessment.



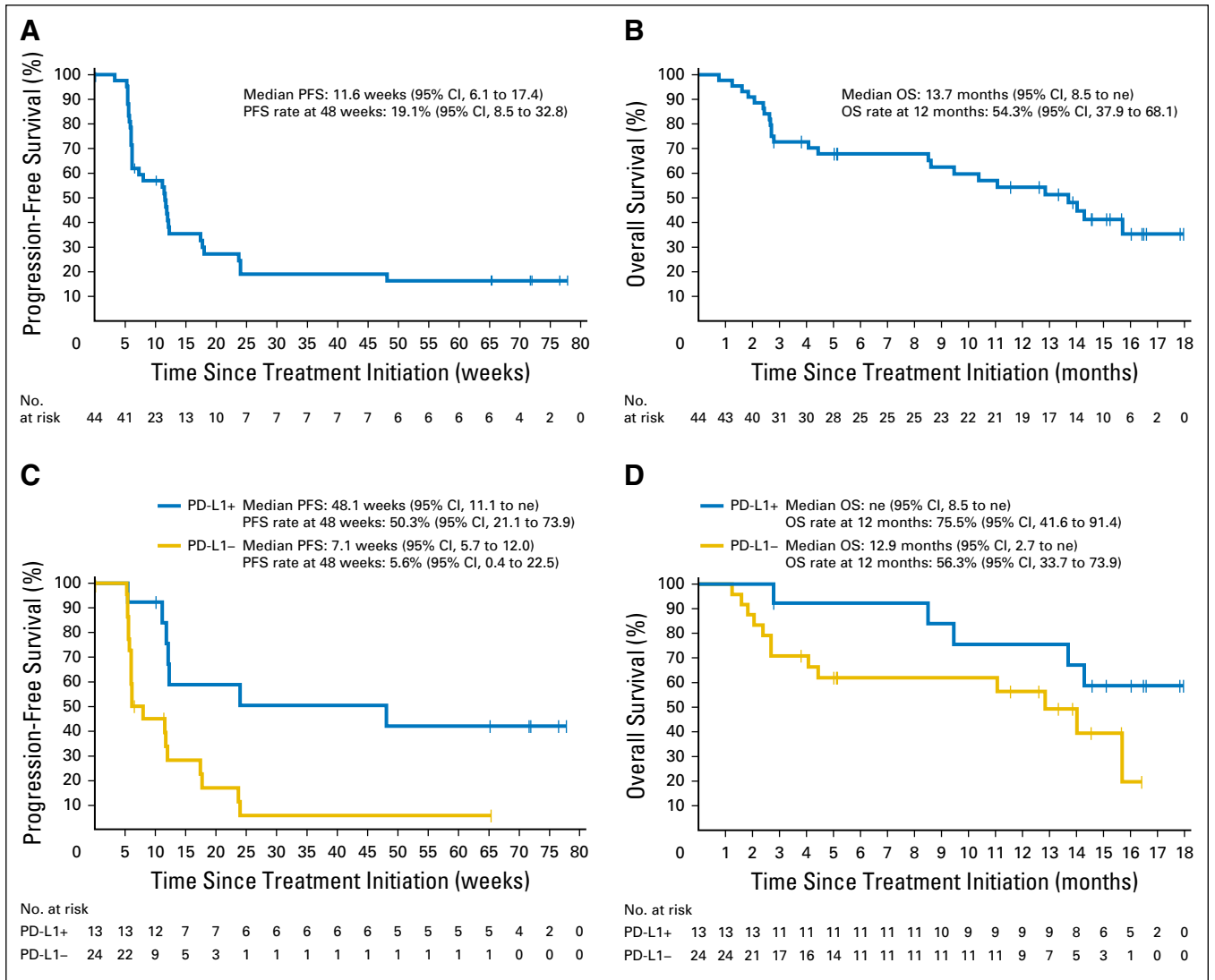
**Fig 1.** Clinical activity of avelumab. (A) Time to response, duration of treatment, and duration of response to avelumab (eight confirmed responses and four unconfirmed responses as of data cutoff), with programmed death-ligand 1 (PD-L1) expression status indicated (on the basis of a  $\geq 5\%$  staining threshold on tumor cells; non-evaluable specimens [n = 7] included those that were missing, of poor quality, or otherwise not available to provide results). The vertical dotted line represents 1 year from the initiation of treatment. (B) Plot of tumor regression from baseline as measured by Response Evaluation Criteria in Solid Tumors (RECIST) in all assessable patients (n = 38), with PD-L1 expression status indicated (on the basis of a  $\geq 5\%$  staining threshold on tumor cells). Eastern Cooperative Oncology Group (ECOG) performance status, presence of nonlymph node metastasis, and albumin and hemoglobin levels at baseline are shown for each patient. The upper dotted line represents progression at 20% and the lower dotted line represents the RECIST boundary for complete response or partial response at 30%. (C) Percentage change in sum of target lesion diameters from baseline over time for all assessable patients (n = 38), defined as those patients with baseline tumor assessments and at least one post-baseline assessment. The upper dotted line represents progression at 20% and the lower dotted line represents the RECIST boundary for complete response or partial response at 30%.

to 42.8), including five complete responses and seven partial responses. Reasons for lack of response confirmation were development of new lesion (n = 3) and no subsequent on-study tumor assessment (n = 1).

In patients with a confirmed response, the median time to response on the basis of independent review was 13.0 weeks (IQR, 8.8-38.6 weeks), and the median duration of response was not reached (95% CI, 12.1 weeks to not estimable). Responses were ongoing at the time of analysis in six of eight patients (75.0%; durations of  $\geq 17.7$  to  $\geq 65.7$  weeks), including four of five patients with a complete response, and were maintained for  $\geq 48$  weeks in 70.0% of patients (95% CI, 22.5% to 91.8%) by Kaplan-Meier estimates. Thirteen of 44 patients (29.5%) had a reduction in target lesions by  $\geq 30\%$  from baseline; six patients were nonevaluable (Figs 1B and 1C).

The median PFS was 11.6 weeks (95% CI, 6.1 to 17.4 weeks); the proportion of patients who were free of disease progression at 48 weeks was 19.1% (95% CI, 8.5% to 32.8%; Table 3 and Fig 2A). The median OS was 13.7 months (95% CI, 8.5 months to not estimable), and the 12-month OS rate was 54.3% (95% CI, 37.9% to 68.1%; Table 3 and Fig 2B). Sixteen patients (36.4%) received anticancer therapy after discontinuing avelumab; of these, 13 (29.5%) had drug therapy, including cytotoxic chemotherapy (n = 9; 20.5%), kinase inhibitor (n = 5; 11.4%), and investigational antibody (n = 2; 4.5%).

Among 37 patients evaluable for PD-L1 expression, responses occurred in patients with PD-L1-positive and PD-L1-negative tumors at all prespecified PD-L1 expression-level thresholds, although trends toward higher response rates and longer PFS and OS were seen in patients with PD-L1-positive tumors (Figs 1A, 2C and 2D, and Data Supplement). On the basis of a  $\geq 5\%$  threshold for



**Fig 2.** Progression-free survival (PFS) and overall survival (OS). Kaplan-Meier estimate of PFS (A) and OS (B) for the overall study population (N = 44). Kaplan-Meier estimate of PFS (C) and OS (D) on the basis of programmed death-ligand 1 (PD-L1) expression on tumor cells (n = 37). Vertical lines show censored events. Positive PD-L1 expression was defined as  $\geq 5\%$  of tumor cells expressing PD-L1 at any intensity. ne, not estimable.



staining on tumor cells, seven of eight responding patients (87.5%) had PD-L1–positive tumors. The confirmed ORR by independent review was 53.8% in PD-L1–positive tumors (seven of 13) and 4.2% in PD-L1–negative tumors (one of 24).

Responses occurred in patients who had factors associated with poor prognosis. Confirmed ORRs by independent central review were 15.2% (five of 33) and 27.3% (three of 11) in patients with or without visceral (nonlymph node) metastases at baseline, respectively, and 30.0% (three of 10) and 10.0% (two of 20) in patients with  $\geq 3$  or  $\leq 1$  prior anticancer lines for advanced disease, respectively. There were no confirmed responses in patients with low albumin or hemoglobin levels (0.0% [zero of eight] and 22.2% [eight of 36] in patients with baseline albumin levels  $< 35$  g/L or  $\geq 35$  g/L, respectively, and 0.0% [zero of seven] and 21.6% [eight of 37] in patients with baseline hemoglobin levels  $< 100$  g/L or  $\geq 100$  g/L, respectively). The median PFS in patients with or without visceral (nonlymph node) metastases (11.4 v 11.6 months) was similar to that in the overall cohort.

## DISCUSSION

In this study of 44 patients with refractory metastatic urothelial carcinoma who were followed for a median of 16.5 months, avelumab was well tolerated and showed promising clinical activity, which was characterized by durable responses, disease stabilization, and prolonged OS. The confirmed ORR of 18.2% is notably higher than a historical control rate of 10% for chemotherapy<sup>8</sup> and included a complete response in 11.4% of patients. Importantly, responses were ongoing at the time of analysis (minimum 15 months of follow-up) in 75.0% of responding patients, including four of the five patients with a complete response. Responses were also notable in patients with visceral (nonlymph node) metastases (15.2%) and heavily pretreated patients (30.0% in those patients who had received at least three prior anticancer lines in the advanced setting), indicating that avelumab may have clinical benefit in subpopulations with a poor prognosis. However, no confirmed response to avelumab occurred in patients with low albumin or hemoglobin levels, each of which is a known poor prognostic feature in metastatic urothelial carcinoma. The median OS was 13.7 months. The 12-month OS rate was 54.3%, which is highly encouraging compared with vinflunine<sup>8</sup> and other chemotherapy regimens<sup>26</sup> in the second-line setting, and is consistent with other anti-PD-L1/PD-1 antibodies.<sup>16,27-29</sup> A potential trend toward greater clinical activity of avelumab was seen in patients with PD-L1–positive tumors, although patients with PD-L1–negative tumors also had responses. These analyses are exploratory, and the potential use of PD-L1 as a predictive biomarker for avelumab is being further analyzed.

Key safety findings included a low rate of grade  $\geq 3$  TRAEs (6.8%), a low incidence of immune-related AEs (20.5%, most of which were grade 1 or 2), and no treatment-related renal toxicities or treatment-related deaths. In studies of other anti-PD-L1/PD-1 antibodies in advanced urothelial carcinoma, rates of grade 3 to 4 TRAEs ranged from 4.9% to 21.8%.<sup>16,27-29</sup> The most common TRAEs with avelumab were fatigue, infusion-related reaction, asthenia, and nausea, which were mostly grades 1 or 2. One patient discontinued treatment as the result of a grade 2 infusion-related reaction. The mechanism leading to infusion-related reaction during avelumab treatment is currently unknown; detailed analysis across various tumor types is ongoing.

In conclusion, findings from this study suggest that avelumab could become a potential treatment option for patients with advanced urothelial carcinoma. On the basis of the promising clinical activity seen in this cohort of the JAVELIN Solid Tumor trial, an additional expansion cohort of approximately 200 patients with urothelial carcinoma has been enrolled to further characterize the efficacy and safety of avelumab in this patient population. In addition, a randomized phase III trial of avelumab plus best supportive care versus best supportive care alone as maintenance therapy in patients with metastatic urothelial carcinoma not progressing after first-line platinum-based therapy is underway (NCT02603432).

## AUTHORS' DISCLOSURES OF POTENTIAL CONFLICTS OF INTEREST

Disclosures provided by the authors are available with this article at [jco.org](http://jco.org).

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**AUTHORS' DISCLOSURES OF POTENTIAL CONFLICTS OF INTEREST**

**Avelumab, an Anti-Programmed Death-Ligand 1 Antibody, In Patients With Refractory Metastatic Urothelial Carcinoma: Results From a Multicenter, Phase Ib Study**

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