Air Pollution and Lung Function in Minority Youth with Asthma in the GALA II (Genes-Environments and Admixture in Latino Americans) and SAGE II (Study of African Americans, Asthma, Genes, and Environments) Studies

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Authors
Air Pollution and Lung Function in Minority Youth with Asthma in the GALA II (Genes–Environments and Admixture in Latino Americans) and SAGE II (Study of African Americans, Asthma, Genes, and Environments) Studies

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Abstract

Rationale: Adverse effects of exposures to ambient air pollution on lung function are well documented, but evidence in racial/ethnic minority children is lacking.

Objectives: To assess the relationship between air pollution and lung function in minority children with asthma and possible modification by global genetic ancestry.

Methods: The study population consisted of 1,449 Latino and 519 African American children with asthma from five different geographical regions in the mainland United States and Puerto Rico. We examined five pollutants (particulate matter ≤10 μm and ≤2.5 μm in diameter, ozone, nitrogen dioxide, and sulfur dioxide), derived from participant residential history and ambient air monitoring data, and assessed over several time windows. We fit generalized additive models for associations between pollutant exposures and lung function parameters and tested for interaction terms between exposures and genetic ancestry.

Measurements and Main Results: A 5 μg/m³ increase in average lifetime particulate matter less than or equal to 2.5 μm in diameter exposure was associated with a 7.7% decrease in FEV1 (95% confidence interval = −11.8 to −3.5%) in the overall study population. Global genetic ancestry did not appear to significantly modify these associations, but percent African ancestry was a significant predictor of lung function.

Conclusions: Early-life particulate exposures were associated with reduced lung function in Latino and African American children with asthma. This is the first study to report an association between exposure to particulates and reduced lung function in minority children in which racial/ethnic status was measured by ancestry-informative markers.

Keywords: air pollution; minority; children; lung function; ancestry

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Neophytou, White, Oh, et al.: Air Pollution and Lung Function in Minority Children
At a Glance Commentary

Scientific Knowledge on the Subject: Air pollution has been associated with adverse respiratory health effects, including reduced lung function. Few studies, however, have examined possible effects in minority populations, which may be more susceptible to adverse health outcomes owing to genetic susceptibility and/or increased social vulnerability.

What This Study Adds to the Field: We found associations between exposures to particulate matter and reduced lung function in Latino and African American children with asthma from different geographical regions in the United States. Our results suggest geographical heterogeneity of short-term effects of exposures to particulate matter and potential differences in early-lifetime exposure effects in children from different racial and ethnic backgrounds.

Ambient air pollution has been consistently linked to respiratory outcomes (1–3), including risk of asthma (4, 5), asthma-related hospitalizations (6–8), poor asthma control (9, 10), overall lung function impairment (11, 12), and reduced response to bronchodilator medications (13), with many of these associations observed in pediatric populations. A recent study in Southern California communities found that long-term improvement in air quality was associated with positive effects on lung function growth in children from three different cohorts spanning three different time periods (14). This was an important finding concerning continuous improvement of air quality; although early life lung development and lung function growth do not necessarily manifest as immediate clinical events, they may still be predictive of future chronic disease (15).

Few studies, however, have focused their examination on air pollution effects in vulnerable populations, such as minority children. Minority populations are at higher risk of developing asthma and having more severe asthma relative to the general population (16). Potential reasons include reduced access and responsiveness to medication and other socioeconomic factors (17, 18). Regional variation in air pollution composition may also be an important factor leading to heterogeneous health effects (19). This can have important health implications, as minority populations are more likely to live in more polluted areas compared with white populations (20). Some of the limited literature on air pollution effects in minority children suggests that air pollution is associated with worse asthma symptoms and poorer lung function in minorities with asthma (21, 22). We have previously reported that early-lifetime exposure to nitrogen dioxide (NO2) is associated with increased risk of childhood asthma in Latinos and African Americans, with potentially greater risk in African Americans compared with Mexican Americans (4). In addition, we have shown that genetic African ancestry is associated with increased risk of asthma in Latino children, whereas, conversely, Native American ancestry was associated with lower odds of asthma, and that African ancestry was associated with reduced lung function among children with asthma (23). Furthermore, alleles protective for the risk of asthma have been found to be more common in Native American populations, and, among Latinos, have been found in greater frequency among Mexican populations compared with other Latinos (23–25). Specific gene variants have also been linked to increased susceptibility of asthma in the presence of air pollution (26–28). These findings suggest the possibility of population-specific responses to ambient air pollution and possible modification of air pollution effects by genetic ancestry. However, substantive evidence on how air pollution may have different effects in different minority groups remains scarce.

In the current study, we aimed to assess the effect of air pollution on overall lung function in a population consisting of African American and Latino children and adolescents from different geographical regions, and whether these associations are modified by genetic ancestry within this study population.

Methods

Study Population

The GALA II (Genes–Environments and Admixture in Latino Americans) study and the SAGE II (Study of African Americans, Asthma, Genes, and Environments) are described in detail elsewhere (4). Briefly, they are parallel case–control studies representing the largest gene–environment study of asthma in minority children in the United States. GALA II recruited Latinos from five regions (Chicago, IL; Bronx, NY; Houston, TX; San Francisco Bay Area, CA; and Puerto Rico) and SAGE II recruited African Americans from the San Francisco Bay Area only. Participants in the two studies were 8–21 years old, had no history of other lung or chronic diseases, were self-identified Latino or African American, and had four Latino or African American grandparents, respectively. Asthma cases were defined as subjects with a physician
diagnosis of asthma, plus two or more symptoms of coughing, wheezing, or shortness of breath in the past 2 years. Subjects in the third trimester of pregnancy, current smokers, or those with at least 10 pack-years smoking history were excluded.

In the current study, analyses were restricted to the asthma cases from GALA II and SAGE II. The final sample size consisted of 1,968 subjects, with complete data on age, sex, height, ethnicity, socioeconomic status (SES), current secondhand smoke exposure, and successful quality control measures for genotyping and spirometry.

Outcome Assessment and Covariates
We performed spirometry using KoKo PFT Spirometers (nSpire Health Inc., Louisville, CO) according to American Thoracic Society criteria (29). Participants were asked not to use their bronchodilator medication 8 hours before spirometry testing. We obtained up to eight tracings to collect five reproducible flow–volume loops with less than 5% variability in FEV1 (in liters). For analysis, we extracted the loop with the best performance. We evaluated three raw lung function metrics: FEV1, FVC (L), and forced expiratory flow between 25% and 75% of vital capacity (FEF25–75, L/s).

We recorded information on age, sex, and height. Demographic information, medical histories, environmental exposures, and residential histories were obtained through questionnaires administered by trained bilingual (English–Spanish) interviewers. We created a composite SES variable as a function of annual household income, maternal level of education, and insurance type (18).

We evaluated genetic ancestry using methods described elsewhere (24). Briefly, we calculated the proportion of genetic ancestry by first genotyping all subjects with the Axiom LAT1 array (World Array 4; Affymetrix, Santa Clara, CA) (30). We then obtained estimates of global genetic ancestry using an unsupervised analysis in ADMIXTURE (31) to determine the proportions of African, European, and Native American ancestry for Latinos, and the proportions of African and European ancestry for African Americans.

Exposure Assessment
We assigned each participant’s residence geographic coordinates using the TomTom/Tele Atlas EZ-Locate software (TomTom, Amsterdam, the Netherlands). We obtained regional ambient daily air pollution data from the U.S. Environmental Protection Agency Air Quality System. To estimate pollution values for the participant’s residential geographic coordinate, we calculated the inverse distance-squared weighted average from the four closest air pollution monitoring stations within 50 km of the residence (4). In the case of Puerto Rico, pollution data were available from only two stations. We estimated daily average exposures for the day of spirometry testing (Lag 0). We calculated average exposures for the week (7 d) and month (30 d) before spirometry testing by averaging all available daily exposures during that time period. We also estimated average yearly exposure to each of the pollutants at the reported residential address (or a time-weighted estimate based on the amount of months spent at each different address in a given year where applicable) using all available daily pollutant measures. Average lifetime exposures were in turn estimated using the yearly average estimates. Not all pollutants were measured every day, resulting in location- and pollutant-dependent missing values. We estimated pollution exposures for NO2, sulfur dioxide (SO2), ozone (8-h daily maximum), and particulate matter with aerodynamic diameter less than or equal to 2.5 μm (PM2.5) and less than or equal to 10 μm (PM10).

Statistical Analyses
We fit generalized additive linear models to assess associations between pollutant concentrations and lung function for each recruitment region. We fit separate models for FEV1, FVC, and FEF25–75 as the outcomes of interest and for different windows of exposure for each pollutant. We entered log-transformed values of lung function parameters in all models, and estimated effects as percent change in the outcome. We used: penalized splines to control for age, height, and calendar time (entered as a continuous term to control for possible seasonal effects), thus allowing for nonlinear effects; indicator variables for sex and race/ethnicity; and continuous variables for SES (composite score variable) and number of smokers in the household. All models included percent genetic African ancestry, which has been shown to be strongly associated with lower lung function (23, 32, 33). We generated summary effect estimates from the five regions using random-effects meta-analysis with a restricted maximum-likelihood estimator, and heterogeneity of effects was assessed using the I² statistic. We reassessed statistical significance for summary effect estimates after a post hoc adjustment for multiple comparisons (Bonferroni adjusted P value at 0.002 accounting for 25 tests per outcome, 5 pollutants × 5 windows of exposure).

For pollutants showing associations with lung function, we assessed possible effect modification by global African and Native American ancestry in the GALA II subjects with interaction terms. We also assessed effect modification by sex, obesity, SES, atopy, and parental asthma. Sensitivity analyses included models using percent predicted FEV1 based on Global Lung Initiative reference equations (34) for pollutants showing significant associations in the primary analysis. We also examined for nonlinearity of effects through use of penalized spline terms for pollutant effects. All analyses were performed using R version 3.2.0 software (R core team, Vienna, Austria).

Results
Analyses were performed on 1,968 children and adolescents with asthma from the GALA II (n = 1,449) and SAGE II (n = 519) studies. Demographic characteristics of the study population are summarized in Table 1, stratified by study. Briefly, the two studies were similar with respect to anthropometric variables as well as age and sex distribution. The average percent of African ancestry in SAGE II subjects was 79% with a range of 28–100%. Average percent African ancestry in GALA II subjects was 14% with a range of 0–85%, whereas the average percent Native American ancestry was 33% with a range of 1–100%. Ancestry estimates by self-reported ethnicity are summarized in Table 2. Boxplots of average lifetime air pollutant concentrations are summarized in Figure 1, and correlations among pollutant exposures are presented in Table 3. Air pollution concentrations for other exposure windows are summarized in Figures E1–E4 in the online supplement.

Lifetime Average Exposures
Forest plots for the associations between FEV1 and lifetime average exposure for
using percent predicted values for FEV₁ based on Global Lung Initiative reference equations, results were qualitatively similar with a 4.5 decrease in percent predicted FEV₁ (95% CI = –8.6 to –0.4%) associated with each 5 µg/m³.

### First Year of Life Average Exposures

When looking at combined effect estimates from all regions, we observed a modest association between first year of life average exposure to PM₁₀, with a 1.0% decrease in FEV₁ (95% CI = –1.85 to –0.15%) associated with a 5 µg/m³ increase in exposure. We observed only suggestive associations for ozone and SO₂ (Figure 3).

The combined summary estimate for first year of life average exposures to PM₂,₅ was larger in magnitude than other pollutants, but was based on smaller sample sizes and was imprecise. Summary associations for the first year of life exposure did not meet the multiple comparisons adjusted level of significance for any of the pollutants. In region-specific analyses, the association for first year of life average exposure to PM₁₀ and FEV₁ was stronger in GALA II compared with SAGE II in the San Francisco Bay Area, whereas the opposite was true for first year of life average exposures to SO₂.

### Same-Day (Lag 0) 24-Hour Average Exposures

When all regions were combined, none of the summary estimates was statistically significant in the case of same-day 24-hour average exposure (Lag 0) to any of the pollutants (Figure E5). We observed the strongest association between same-day exposures and FEV₁ in the case of PM₁₀, but effects across regions were heterogeneous (I² = 84%, P value for test of heterogeneity = 0.001). Analyses using spline terms for pollutant concentrations also yielded significant terms only for the San Francisco Bay Area and Puerto Rico regions, and effects in these regions appeared to be linear (Figure E6).

### Other Time Windows and Interactions

Average weekly pollutant exposures were not strongly associated with FEV₁, whereas suggestive associations were observed for average monthly exposures to particulates, but not for other pollutants (Figures E7 and E8).

Although African genetic ancestry was associated with lower lung function,
inclusion of interaction terms in lung function models between pollutant exposures and global genetic ancestry did not improve model fit, nor did it result in any statistically significant interactions between pollutants and genetic ancestry as continuous variables (Table 4). In models for Puerto Rico, the change in FEV₁ associated with an average lifetime PM₂.₅ exposure and percent Native American ancestry interaction was a 2.5% increase (95% CI = -1.1 to 6.2) for a 5 µg/m³ increase in exposure and a 10% increase in Native American ancestry. The corresponding numbers for exposure and percent African ancestry interaction represented a 0.4% decrease (95% CI = -5.8 to 5.2).

Assessment of potential effect modification of the effect of average lifetime PM₂.₅ exposures on lung function by additional variables considered did not yield any significant findings. Associations between FEV₁ and the exposure were very similar between strata of the potential modifiers (Table E1).

Discussion

We observed associations between reduced lung function and average lifetime exposure to PM₂.₅ in Latino and African American youth with asthma from different regions in the United States and Puerto Rico. We observed suggestive associations with 24-hour and early-lifetime exposure to PM₁₀ and reduced lung function. We did not observe a significant modifying effect by global genetic ancestry, or other variables. Our results indicate that particulate exposures are associated with reduced lung function in these minority populations.

To our knowledge, the current study is the first to examine the effects of air pollution on lung function and for possible modification by genetic ancestry in minority populations, which may be especially susceptible. We examined for possible air pollution effects on lung function across different regions controlling for genetic ancestry and self-reported race/ethnicity and assessed possible interactions with global genetic ancestry.

Global genetic ancestry did not appear to be a significant modifier of overall
associations within Latinos in the same geographical region. This does not necessarily indicate that genetics should not be considered when assessing air pollution effects in minority children with asthma. Global ancestry measures the proportion of the genome inherited from one or more parental populations within an admixed individual (30, 31), and although they correlate highly with self-reported race/ethnicity, there is evidence that self-reported race is likely to leave a portion of the substructure within admixed populations, as race/ethnicity are sociopolitical constructs, the categories of which are fluid and subject to change along with societal and cultural trends (33, 35–37). Recent studies have shown that genetic ancestry was associated with pulmonary traits (asthma prevalence/severity, lung function) independent of self-reported race in African Americans and Puerto Ricans (23, 32, 33, 38). Therefore, to avoid potential confounding, it is important to consider both self-identified race/ethnicity and genetic ancestry when constructing statistical models to assess the relationship between lung function and exposures of interest in admixed populations, such as the population of interest in the present study.

As potential effect-modifying variables, measures of global ancestry are most powerful in situations in which a large number of genetic variants, with small effect sizes, preferentially inherited from a specific ancestral population(s), act in tandem to modify the relationship between phenotype and predictor. However, in cases where a small number of specific loci, with modest to large effects, derived preferentially from one ancestral group in an admixed individual, global ancestry proportions may be too crude of a measurement to detect these modifying effects, as their relatively small number would not greatly affect the overall proportion of the ancestral group from which they originated. Any ancestry modifying effects in our study may be too subtle to be captured by global genetic ancestry measurement; local genetic ancestry may be better suited to identify ancestry–air pollution interactions with respect to lung function in these minority populations. In addition, the current study was underpowered to detect significant interaction effects of small magnitudes in recruitment region–specific analyses.

We saw no significant associations for short-term PM$_{2.5}$ exposures, but average lifetime exposures to PM$_{2.5}$ appeared predictive of lung function, suggesting a potentially longer-term effect. Previous studies linking early-life particulate exposures to lung function report associations between fine particulate (PM$_{2.5}$) exposures and chronic effects on lung function, as well as reductions in air pollution associated with improved lung development over time in children in Southern California (14, 39). Our findings for lifetime exposure to PM$_{2.5}$ are consistent with these reports. Sensitivity analysis by Gauderman and colleagues (14) indicated that improvement in lung function growth associated with lower air pollution over time was greater in children with asthma compared with children without asthma, indicating potentially increased susceptibility. Decreased lung function associated with air pollution has also been reported in studies of children in Europe (40, 41). Previous studies have also reported associations between reduced lung function and exposures to NO$_2$ (14, 40), but we only observed significant associations for particulate exposures.

Limitations of this study included missing air pollution data, particularly with respect to same-day exposures to PM$_{10}$ and early-lifetime exposures to PM$_{2.5}$. Analyses for different pollutants and different time windows of exposure were based on different sample sizes depending on availability of exposure data. PM$_{10}$ was only sampled once every 6 days in the San Francisco Bay Area, and once every 3 days in Puerto Rico during the recruitment period, as opposed to other region and pollutant combinations. In addition, data on early-lifetime exposures to PM$_{2.5}$ were limited, as some of the participants were born before PM$_{2.5}$ was required to be systematically sampled by the Environmental Protection Agency in all locations. Air pollution data in Puerto Rico relied on only two monitoring stations; therefore, exposure misclassification is more likely in subjects from this region. Nondifferential misclassification of exposures for many subjects is likely, as no personal air pollution measurements were available, but any potential bias due to this misclassification is expected to be toward the null (42). Information on indoor air pollution levels was lacking. The use of residential addresses to determine exposures, however, is a strength compared with studies using community-level data. Given the varying regional distribution of the different racial/ethnic groups
<table>
<thead>
<tr>
<th>Pollutant/Region</th>
<th>Percent change in FEV₁ [95% CI]</th>
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</thead>
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<tr>
<td><strong>PM₁₀</strong></td>
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<tr>
<td>Chicago (N=259)</td>
<td>−1.16 [−5.91, 3.83]</td>
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<tr>
<td>Houston (N=179)</td>
<td>1.37 [−0.69, 3.47]</td>
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<td>New York (N=183)</td>
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<tr>
<td>Puerto Rico (N=556)</td>
<td>−1.89 [−3.96, 0.22]</td>
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<tr>
<td>SF−GALA II (N=264)</td>
<td>−3.72 [−7.27, −0.22]</td>
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<tr>
<td>SF−SAGE II (N=519)</td>
<td>−1.04 [−4.82, 2.89]</td>
</tr>
<tr>
<td><strong>Summary estimate, I²=41.7</strong></td>
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<td><strong>PM₂.₅</strong></td>
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<tr>
<td>Chicago (N=259)</td>
<td>−2.88 [−13.64, 9.21]</td>
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<tr>
<td>Houston (N=173)</td>
<td>−6.40 [−24.75, 16.43]</td>
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<td>SF−SAGE II (N=519)</td>
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<td><strong>NO₂</strong></td>
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<tr>
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<td><strong>SO₂</strong></td>
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<td>SF−SAGE II (N=519)</td>
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</tr>
<tr>
<td><strong>Summary estimate, I²=0</strong></td>
<td>−0.65 [−1.96, 0.68]</td>
</tr>
</tbody>
</table>

Figure 2. Forest plots for percent change in FEV₁ associated with each 5 unit (µg/m³/ppb; results for SO₂ presented for 1 ppb increases) increase in pollutant concentrations averaged over the lifetime. Sample sizes (N) for each association varied depending on availability of data by pollutant and exposure window in question. CI = confidence interval; GALA II = Genes–Environments and Admixture in Latino Americans study; O₃ = ozone; PM₂.₅ = particulate matter with aerodynamic diameter <2.5 µm; PM₁₀ = particulate matter with aerodynamic diameter ≤10 µm; SAGE II = Study of African Americans, Asthma, Genes, and Environments; SF = San Francisco.
Figure 3. Forest plots for percent change in FEV₁ associated with each 5 unit \((\mu g/m^3)/ppb\); results for \(SO_2\) presented for 1 ppb increases) increase in first year of life average pollutant concentrations. Sample sizes (N) for each association varied depending on availability of data by pollutant and exposure window in question. CI = confidence interval; GALA II = Genes–Environments and Admixture in Latino Americans study; \(O_3\) = ozone; \(PM_{2.5}\) = particulate matter with aerodynamic diameter \(<2.5\) \(\mu m\); \(PM_{10}\) = particulate matter with aerodynamic diameter \(<10\) \(\mu m\); SAGE II = Study of African Americans, Asthma, Genes, and Environments; SF = San Francisco.
considered in this study, heterogeneity of effects is difficult to interpret. Effect modifiers, other than race and ethnicity, may also vary by region; however, stratification by race/ethnicity in all recruitment regions was not possible.

The cross-sectional nature of the comparisons considered in this study limits our ability to infer causality for observed associations, as they only represent a snapshot of the study population. The availability of extensive data on covariates on the individual level, such as socioeconomic factors that may be predictive of health disparities in minority populations, is, however, a considerable strength. Other strengths of this study include the minority populations, is, however, a considerable strength. Other strengths of this study include the minority populations, relatively large sample size for lung function as an outcome, individual exposure estimates, as well as the availability of genetic ancestry measurements in addition to self-reported race/ethnicity. Observed associations of spirometry and pollutant exposures were greater in magnitude for FEF_{25–75} as the outcome of interest; FEF_{25–75} has been shown in recent studies to be a highly sensitive marker of airway obstruction, and may be a more informative lung function parameter than FEV1 in children with asthma (43-44). Results were also robust in sensitivity analysis using percent predicted FEV1 based on Global Lung Initiative reference equations. These predictions are subject to misclassification, however, as they do not take into account genetic ancestry (33, 34).

In conclusion, findings from the current study suggest that lifetime particulate exposures are associated with reduced lung function in minority youth with asthma in the United States and Puerto Rico. Future work using a more detailed exposure assessment, local chromosomal ancestry, specific alleles, and epigenetic traits may provide further insight into the biological and environmental factors underlying the heterogeneity of air pollution effects on lung function, as well as overall asthma prevalence and severity.

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### References

ORIGINAL ARTICLE


