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Optimal Timing of Administration of Direct-acting Antivirals for Patients With Hepatitis C-associated Hepatocellular Carcinoma Undergoing Liver Transplantation

Michael K. Turgeon Shimul A. Shah Aaron M. Delman Benjamin V. Tran Vatche G. Agopian

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Authors

Michael K. Turgeon, Shimul A. Shah, Aaron M. Delman, Benjamin V. Tran, Vatche G. Agopian, Joel P. Wedd, Joseph F. Magliocca, Ahyoung Kim, Andrew Cameron, Ali Olyaei, Susan L. Orloff, Matthew P. Anderson, Chandrashekhar A. Kubal, Robert M. Cannon, Jayme E. Locke, Mary A. Simpson, Mohamed E. Akoad, Chelsey P. Wongjirad, Juliet Emamaullee, Amika Moro, Federico Aucejo, Cyrus A. Feizpour, Parsia A. Vagefi, Mindie H. Nguyen, Carlos O. Esquivel, Kiran Dhanireddy, Vijay Subramanian, Alejandro Chavarriaga, Marwan M. Kazimi, Maia S. Anderson, Christopher J. Sonnenday, Steven C. Kim, David P. Foley, Marwan S. Abouljoud, Reena J. Salgia, Dimitrios Moris, Debra L. Sudan, Swaytha R. Ganesh, Abhinav Humar, Majella Doyle, William C. Chapman, and Shishir K. Maithel

Optimal Timing of Administration of Direct-acting Antivirals for Patients With Hepatitis C-associated Hepatocellular Carcinoma Undergoing Liver Transplantation

Michael K. Turgeon, MD,* Shimul A. Shah, MD, MHCM,† Aaron M. Delman, MD,† Benjamin V. Tran, MD,‡

Vatche G. Agopian, MD, ‡ Joel P. Wedd, MD, MPH,* Joseph F. Magliocca, MD,* Ahyoung Kim, MD, §

Andrew Cameron, MD, PhD, § Ali Olyaei, PharmD, ¶ Susan L. Orloff, MD, ¶ Matthew P. Anderson, BS, ||

Chandrashekhar A. Kubal, MD, PhD, || Robert M. Cannon, MD,** Jayme E. Locke, MD, MPH,**

Mary A. Simpson, PhD, †† Mohamed E. Akoad, MD, ††

Chelsey P. Wongjirad, DO, ^{‡‡} Juliet Emamaullee, MD, PhD, ^{‡‡} Amika Moro, MD, ^{§§}

Federico Aucejo, MD, §§ Cyrus A. Feizpour, MD, ¶¶ Parsia A. Vagefi, MD, ¶¶ Mindie H. Nguyen, MD, MAS, ||||

Carlos O. Esquivel, MD, PhD, |||| Kiran Dhanireddy, MD, *** Vijay Subramanian, MD, ***

Alejandro Chavarriaga, MD, ††† Marwan M. Kazimi, MD, ††† Maia S. Anderson, MD, ‡‡‡

Christopher J. Sonnenday, MD, 111 Steven C. Kim, MD, 88 David P. Foley, MD, 88

Marwan Abdouljoud, MD, ¶¶¶ Reena J. Salgia, MD, ¶¶¶ Dimitrios Moris, MD, PhD, ||||||

Debra L. Sudan, MD, |||||| Swaytha R. Ganesh, MD, **** Abhinav Humar, MD, **** Majella Doyle, MD, ††††

William C. Chapman, MD, †††† and Shishir K. Maithel, MD^{*}⊠

Objective: To investigate the optimal timing of direct acting antiviral (DAA) administration in patients with hepatitis C-associated hepatocellular carcinoma (HCC) undergoing liver transplantation (LT).

Summary of Background Data: In patients with hepatitis C (HCV) associated HCC undergoing LT, the optimal *timing* of direct-acting antivirals (DAA) administration to achieve sustained virologic response (SVR) and improved oncologic outcomes remains a topic of much debate.

Methods: The United States HCC LT Consortium (2015–2019) was reviewed for patients with primary HCV-associated HCC who underwent LT and received DAA therapy at 20 institutions. Primary outcomes were SVR and HCC recurrence-free survival (RFS).

Results: Of 857 patients, 725 were within Milan criteria. SVR was associated with improved 5-year RFS (92% vs 77%, P < 0.01). Patients who received DAAs pre-LT, 0–3 months post-LT, and \geq 3 months post-LT had SVR rates of

91%, 92%, and 82%, and 5-year RFS of 93%, 94%, and 87%, respectively. Among 427 HCV treatment-naïve patients (no previous interferon therapy), patients who achieved SVR with DAAs had improved 5-year RFS (93% vs 76%, P < 0.01). Patients who received DAAs pre-LT, 0-3 months post-LT, and ≥ 3 months post-LT had SVR rates of 91%, 93%, and 78% (P < 0.01) and 5-year RFS of 93%, 100%, and 83% (P = 0.01).

Conclusions: The optimal timing of DAA therapy appears to be 0 to 3 months after LT for HCV-associated HCC, given increased rates of SVR and improved RFS. Delayed administration after transplant should be avoided. A prospective randomized controlled trial is warranted to validate these results.

Keywords: direct acting antivirals, hepatitis C, hepatocellular carcinoma, liver transplant, recurrence, sustained virologic response

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From the *Emory University, Atlanta, Georgia; †University of Cincinnati College of Medicine, Cincinnati, Ohio; ‡David Geffen School of Medicine at UCLA, Los Angeles, California; §Johns Hopkins, Baltimore, Maryland; ¶Oregon Health and Science University, Portland, Oregon; ||Indiana University Health, Indianapolis, Indiana; **University of Alabama at Birmingham, Birmingham, Alabama; ††Lahey Hospital and Medical Center, Boston, Massachusetts; ‡‡Keck Hospital of University of Southern California, Los Angeles, California; §§Cleveland Clinic, Cleveland, Ohio; ¶¶UT Southwestern Medical Center, Dallas, Texas; ||||Stanford University Medical Center, Palo Alto, California; ***Tampa General Hospital, Tampa, Florida; †††Piedmont Healthcare, Atlanta, Georgia; ‡‡‡University of Michigan, Ann Arbor, Michigan; §§§University of Wisconsin School of Medicine and Public Health, Madison, Wisconsin; ¶¶¶Henry Ford Health System, Detroit, Michigan; ||||||Duke University School of Medicine, North Carolina; ****University of Pittsburgh Medical Center, Pittsburgh, Pennsylvania; and ††††Washington University School of Medicine at St. Louis, St. Louis, Missouri. The authors are accountable for all aspects of the work in ensuring that questions related to the accuracy or integrity of any part of the work are appropriately investigated and resolved.

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[⊠]smaithe@emory.edu.

Zystinance (not) (2007) Contact Information: Michael K. Turgeon, MD, Katz Foundation Research Fellow, Winship Cancer Institute, Division of Surgical Oncology, Department of Surgery, Emory University, 1365 Clifton Road, NE; Building B, Suite 4100, Office 4201, Atlanta, GA 30322, mturgeo@emory.edu.

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epatitis C virus (HCV) is the most common cause of hepatocellular carcinoma (HCC) in the United States, affecting 6 per 100,000 individuals each year.¹ Between 2008 and 2016, the incidence rate of HCC has steadily increased by 3% annually, with recent projections indicating its continued growth.² This is partly attributable to the high prevalence of HCV.³

Historically, the mainstay of treatment for HCV included interferon-based regimens with or without ribavirin. Given a significant adverse drug reaction profile, this regimen had poor adherence, as well as low overall rates of achieving a sustained virologic response (SVR), defined as no detectable virus 12 to 24 weeks after end of therapy.⁴ Fortunately, the advent of direct acting antivirals (DAAs) in 2011 and subsequent U.S. Food and Drug Administration approval of second generation DAAs in 2014 revolutionized the treatment of HCV by offering a well-tolerated and much more efficacious treatment option, with a favorable side-effect profile, which resulted in improved compliance and rates of SVR exceeding 95%.⁴

Chronic HCV infection leads to hepatic inflammation and fibrosis, conferring an over 20-fold increased risk for developing HCC.⁵ DAAs halt HCV's continuous insult on the liver and may improve liver function, potentially reducing the risk for developing de novo HCC.^{6,7} However, some studies have suggested DAA administration was associated with increased rates of HCC recurrence after curative treatment of HCC.^{8,9} On the other hand, recent larger and well-controlled studies found no significant difference in HCC recurrence in DAA-treated patients, and multicenter studies from both the US and Asia have shown significant survival improvement in HCC patients with HCV cure.^{10–12}

For cirrhotic patients with early-stage HCC within Milan criteria, liver transplantation (LT) remains the gold-standard curative treatment option.¹³ In the US, an estimated 900 liver transplants are performed each year for HCV-associated HCC.¹⁴ In light of controversial data suggesting DAAs may accelerate HCC recurrence and the paucity of data investigating the impact of timing of DAAs in the setting of LT, there is significant practice pattern variability across the country for when DAAs are administered, either pre or post-LT. Thus, we sought to assess the optimal timing of DAAs in patients with HCV-associated HCC who underwent LT.

METHODS

Study Design and Study Population

In this retrospective cohort study, patients were selected from the US Hepatocellular Carcinoma Liver Transplantation Consortium (US-HCC-LTC), a multi-institutional collaborative of 20 high-volume liver transplant centers, including Emory University, the University of Cincinnati College of Medicine, David Geffen School of Medicine at University of California Los Angeles, Johns Hopkins, Oregon Health and Science University, Indiana University Health, University of Alabama at Birmingham, Lahey Hospital and Medical Center, Keck Hospital of University of Southern California, Cleveland Clinic, University of Texas Southwestern Medical Center, Stanford University Medical Center, Tampa General Hospital, Piedmont Healthcare, University of Michigan, University of Wisconsin School of Medicine in Madison, Duke University School of Medicine, Henry Ford Health System, University of Pittsburgh Medical Center, and Washington University School of Medicine in St. Louis. Patients who were older than 18 years of age with a diagnosis of HCC due to HCV etiology, received DAA therapy, and underwent LT between 2015 and 2019 were included. HCC diagnosis was made according to the established Barcelona Clinic Liver Cancer Guidelines. HCV diagnosis was defined by the presence of HCV antibody or HCV RNA. Patients who had missing DAA timing data, who had

gross residual disease at the time of surgery (R2), who were transplanted outside of Milan criteria, or who previously received interferon therapy were excluded from final analysis. Institutional Review Board approval was obtained at each center before the initiation of data collection.

Study Variables and Outcomes

Demographic, preoperative, operative, postoperative, histopathologic, and long-term survival outcomes were collected via review of each patient's electronic medical record. DAA therapy timing was categorized as the initiation of DAA therapy pre-LT, 0 to 3 months post-LT, or \geq 3 months post-LT. Liver-directed therapy included radiofrequency ablation, transarterial chemoembolization, and/or Y-90. Primary outcomes were SVR and HCC recurrence-free survival (RFS). Acute rejection included acute T-cell mediated (cellular) rejection or acute antibody-mediated rejection.

Analytic Plan

Statistical analysis was conducted with SPSS 26.0 software (IBM Inc, Armonk, NY). Descriptive statistics for each variable are reported. A significance level (alpha) of 0.05 was specified for two-tailed tests. Comparative analysis was performed, including Chi-squared tests or Fisher exact tests for discrete variables and Student t test or Mann-Whitney tests for continuous variables. Univariate binary logistic regression was performed to determine the association of clinicopathologic variables and outcomes of interest. Kaplan-Meier log-rank analysis and Cox regression were performed to determine the association between timing of DAA therapy and HCC RFS.

RESULTS

Study Cohort Characteristics

Of the 857 patients in the US-HCC-LTC, 427 met the specified inclusion criteria. Baseline demographic, clinicopathologic, perioperative, and oncologic data are outlined in Table 1. Fifty-eight percent (n = 258) of patients received DAAs pre-LT, 10% (n = 45) received DAAs 0 to 3 months post-LT, and 27% (n = 124) received DAAs \geq 3 months post-LT. The median age at diagnosis was 61 years. A higher proportion of patients received ledipasvir/sofosbuvir compared to a sofosbuvir/velpatasvir or glecaprevir/pibrentasvir regimen. Although the majority of patients underwent liverdirected therapy before LT, a higher proportion received liverdirected therapy if DAAs were administered pre-LT (93%, n = 241), compared to those who received DAAs 0 to 3 months post-LT (89%, n = 40) or ≥ 3 months post-LT at (82%, n = 102). Patients who received DAAs post-LT were more likely to receive an HCV+ donor liver, with 36% (n = 16) of patients receiving HCV+ livers in the 0 to 3 months post-LT group and 33% (n = 40) in the >3 months post-LT group, compared to 4% (n = 9) in the pre-LT group. Notably, postoperative complication rates did not differ between the DAA timing groups (42% pre-LT, 53% 0-3 months post-LT, 48% \geq 3 months post-LT, P = 0.25). A higher rate of acute rejection was seen in the patients who received DAAs 0 to 3 months post-LT (27%, n = 12), compared to those who received DAAs pre-LT (13%, n = 34) and ≥ 3 months post-LT (8%, n = 10). The median time on the waitlist was 7 months (interquartile range, IQR 3-12). Median follow-up from liver transplant was 36 months (IQR 21-52).

Sustained Virologic Response

For patients within Milan criteria who were interferon-treatment naïve, receiving DAA therapy \geq 3 months post-LT was associated with a decreased odds of achieving SVR (OR 0.36, 95% CI 0.20–0.65, *P* < 0.01) (Table 2). Patients who received DAAs pre-LT,

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	All Patients	Pre-LT	0-3 months Post-LT	≥3 mo Post-LT	
Variable	$n = 427 \ (\%)$	n = 258 (58)	n = 45 (10)	n = 124 (27)	P value
Age at diagnosis (median, IQR)	61 (57-65)	61 (57-66)	62 (60-66)	61 (57-64)	0.60
Sex					0.70
Female	85 (20)	54 (21)	7 (16)	24 (19)	
Male	342 (80)	204 (79)	38 (84)	100 (81)	
Race/Ethnicity					0.47
White	273 (64)	160 (62)	27 (61)	86 (69)	
Black	72 (17)	45 (17)	10 (22)	17 (14)	
Asian	14 (3)	11 (4)	0 (0)	3 (2)	
Latino	64 (15)	41 (16)	7 (16)	16 (13)	
Other	4 (1)	1 (1)	1 (1)	2 (2)	
ASA					0.10
Ι	1 (1)	1 (1)	0 (0)	0 (0)	
II	21 (5)	19 (8)	0 (0)	2(2)	
III	141 (33)	79 (31)	19 (42)	43 (35)	
IV	256 (61)	151 (60)	26 (58)	79 (63)	
Functional status	(0.17
Independent	304 (75)	185 (76)	23 (62)	96 (78)	
Partially dependent	91 (23)	55 (23)	12 (33)	24 (19)	
Totally dependent	9 (2)	3 (1)	2(5)	4 (3)	
DAA regimen) (2)	5 (1)	2 (3)	1 (3)	< 0.01
Sofosbuvir/velpatasvir	32 (8)	17 (7)	2 (4)	13 (11)	20.01
Ledipasvir/sofosbuvir	240 (57)	155 (62)	22 (49)	63 (51)	
Glecaprevir/pibrentasvir	44 (10)	0 (0)	14(31)	30 (24)	
Other	104 (25)	79 (31)	7 (16)	18 (14)	
SVR achieved	104 (25)	77 (51)	7 (10)	10 (14)	< 0.01
No	54 (13)	24 (9)	3 (7)	27 (23)	<0.01
Yes	365 (87)	231 (91)	41 (93)	93 (77)	
Received liver-directed therapy	505 (87)	231 (91)	41 (93)	<i>93</i> (11)	< 0.01
No	44 (10)	17 (7)	5 (11)	22 (18)	< 0.01
Yes	383 (90)	241 (93)	40 (89)	102 (82)	
Deceased donor	383 (90)	241 (93)	40 (89)	102 (82)	0.76
Deceased donor	424 (99)	256 (99)	45 (100)	123 (99)	0.70
Living donor	2 (1)	1 (1)	0 (0)	1 (1)	< 0.01
HCV+ donor liver	251 (04)	242 (00)	28 (64)	91 ((7)	< 0.01
No	351 (84)	242 (96)	- (-)	81 (67)	
Yes	65 (16)	9 (4)	16 (36)	40 (33)	0.05
Any postoperative complication	226 (55)	150 (50)		(5. (52)	0.25
No	236 (55)	150 (58)	21 (47)	65 (52)	
Yes	190 (45)	107 (42)	24 (53)	59 (48)	0.04
Acute rejection	254 (05)	224 (07)			< 0.01
No	371 (87)	224 (87)	33 (73)	114 (92)	
Yes	56 (13)	34 (13)	12 (27)	10 (8)	
Re-transplant	100 (00)	252 (00)		100 (00)	0.75
No	420 (99)	253 (98)	44 (98)	123 (99)	
Yes	6 (1)	4 (2)	1 (2)	1 (1)	
Months on waitlist (median, IQR)	7 (3–12)	8 (4–14)	7 (2-8)	8 (4-7)	< 0.01
Follow-up in months (median)	36 (21-52)	34 (18–51)	30 (19-44)	41 (28–54)	< 0.01

TABLE 1. Baseline Characteristics for Interferon-naïve Patients With HCV-associated HCC Within Milan Criteria Who Underwent Liver Transplantation

0 to 3 months post-LT, and \geq 3 months post-LT achieved SVR rates of 91%, 93%, and 78%, respectively. Notably, patients who received DAAs pre and 0 to 3 months post-transplant had nearly a 15% increased rate of SVR compared to those who received DAAs \geq 3 months post-transplant.

Of the 725 patients within Milan criteria, regardless of prior HCV treatment, patients who received DAAs pre and 0 to 3 months post-LT had an increased rate of SVR of 91% and 92%, compared to 82% for those who received DAAs \geq 3 months post-transplant (P < 0.01).

HCC Recurrence-Free Survival

Receiving DAA therapy \geq 3 months post-LT was associated with worse RFS on univariate Cox regression (HR 2.34, 95% CI

1.14–4.82, P = 0.02) (Table 3). Factors associated with improved RFS included achieving SVR (HR 0.28, 95% CI 0.13–0.62, P < 0.01) and a ledipadsvir/sofosbuvir DAA regimen (HR 0.33, 95% CI 0.13–0.84, P < 0.01). Prior liver-directed therapy was not associated with RFS.

Considering patients within Milan criteria who were interferon-treatment naïve, achieving SVR with DAAs was associated with improved 5-year RFS at 93%, compared to 76% in those who did not achieve SVR (Fig. 1). Receiving DAAs 0 to 3 months post-LT was associated with an improved 5-year RFS of 100% compared to those who received DAAs pre-LT at 93%, and 83% for those who received DAAs \geq 3 months post-LT (Fig. 2). Administering DAAs in the early postoperative period (0–3 months) was associated with improved SVR and RFS.

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TABLE 2. Univariate Binary Logistic Regression for Achieving SVR for Interferon-naïve Patients With HCV-associated HCC Within Milan Criteria Who Underwent Liver Transplantation

	Logistic Regre	ession
	OR (95% CI)	P value
Age at diagnosis (median, IQR)	1.03 (0.98-1.07)	0.31
Sex		
Female	Reference	
Male	0.46(0.19-1.11)	0.08
Race/Ethnicity	. , ,	
White	Reference	
Black	0.55 (0.27-2.09)	0.55
Asian	1.74 (0.22–13.75)	1.74
Latino	1.05 (0.44 - 2.51)	1.05
ASA	1.05 (0.11 2.51)	1.05
I	Reference	
I	Reference	
III	—	_
III IV	—	-
	-	-
Functional status	D (
Independent	Reference	0.67
Partially dependent	1.18 (0.58–2.40)	0.65
Totally dependent	1.14 (0.14-9.46)	0.91
DAA regimen		
Sofosbuvir/velpatasvir	Reference	
Ledipasvir/sofosbuvir	0.48 (0.11-2.11)	0.33
Glecaprevir/pibrentasvir	0.24 (0.05-1.18)	0.08
Other	0.38 (0.08-1.77)	0.22
Timing of DAA therapy		
Pre-LT	Reference	
0-3 mo post LT	1.42 (0.41-4.93)	0.58
≥3 mo post LT	0.36 (0.20-0.65)	< 0.01
Received liver-directed therapy	. , ,	
No	Reference	
Yes	1.33 (0.56-3.16)	0.52
Deceased donor		
Deceased donor	Reference	
Living donor	_	_
HCV+ donor liver		
No	Reference	
Yes	0.65. (0.31–1.34)	0.24
	0.03.(0.31 - 1.34)	0.24
Any postoperative complication	D (
No	Reference	0.00
Yes	0.60 (0.34-1.07)	0.08
Acute rejection	D (
No	Reference	o (;
Yes	1.47 (0.56-3.87)	0.44
Retransplant		
No	Reference	
Yes	-	
Months on waitlist	1.01 (0.99-1.03)	0.38

For patients meeting Milan criteria, including those who received prior HCV treatment, patients who received DAAs pre-LT, 0 to 3 months post-LT, and \geq 3 months had 5-year RFS of 93%, 94%, and 87%, respectively (P = 0.15).

DISCUSSION

For interferon treatment-naïve patients with HCV-associated HCC within Milan criteria undergoing LT, our analysis of a large multi-institutional collaborative of 20 high-volume centers in the US demonstrates that administering DAAs in the early postoperative period, specifically within 3 months of LT, is associated with improved rates of achieving SVR and increased HCC RFS.

Before 2011, treatment for HCV was severely limited, as the only available option was interferon-based therapy. Unfortunately,

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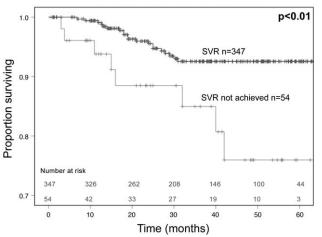
TABLE 3. Univariate Cox Regression for Recurrence-free Survival for Interferon-naïve Patients With HCV-associated HCC Within Milan Criteria Who Underwent Liver Transplantation

	Cox Regression		
	HR (95% CI)	P value	
Age at diagnosis (median, IQR)	0.99 (0.94-1.05)	0.83	
Sex			
Female	Reference		
Male	2.43 (0.74-7.96)	0.14	
Race/Ethnicity			
White	Reference		
Black	1.13 (0.46-2.78)	0.80	
Asian	-	-	
Latino	1.11 (0.41–2.89)	0.86	
Other	-	-	
ASA			
I	Reference		
II	1.38 (0.17-10.86)	0.76	
III	2.13 (0.29-15.80)	0.46	
IV	-	_	
Functional status			
Independent	Reference		
Partially dependent	0.36 (0.11–1.19)	0.09	
Totally dependent	1.83 (0.44-7.71)	0.41	
DAA regimen			
Sofosbuvir/velpatasvir	Reference		
Ledipasvir/sofosbuvir	0.33 (0.13-0.84)	< 0.01	
Glecaprevir/pibrentasvir	0.26 (0.05-1.29)	0.10	
Other	0.21 (0.07-0.71)	< 0.01	
Timing of DAA therapy			
Pre-LT	Reference		
0-3 mo post-LT	-	-	
\geq 3 mo post LT	2.34 (1.14-4.82)	0.02	
SVR achieved			
No	Reference		
Yes	0.28 (0.13-0.62)	< 0.01	
Received liver-directed therapy			
No	Reference		
Yes	1.49 (0.46-4.90)	0.51	
Deceased donor			
Deceased donor	Reference		
Living donor	-	-	
HCV+ donor liver			
No	Reference		
Yes	0.82 (0.32-2.14)	0.69	
Any postoperative complication			
No	Reference		
Yes	1.50 (0.76-2.98)	0.25	
Acute rejection			
No	Reference		
Yes	1.16 (0.45-3.00)	0.76	
Retransplant			
No	Reference		
Yes	2.89 (0.39-21.19)	0.29	
Months on waitlist	0.97 (0.93-1.02)	0.19	

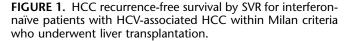
given suboptimal efficacy and significant adverse drug reactions, interferon was poorly tolerated, leading to poor compliance and modest SVR rates ranging from 29% to 56%.^{15,16} The emergence of DAAs fundamentally changed the HCV treatment landscape by offering a simple one pill per day dosing with minimal side effects, allowing patients to achieve SVR rates of 95% to 97%.¹⁷ As a result, patients benefited from marked improvement in liver-associated morbidity and mortality. A 2017 retrospective review of 22,500 patients with HCV reported achieving SVR with DAAs resulted in a significantly reduced risk of developing de novo HCC (HR 0.28,

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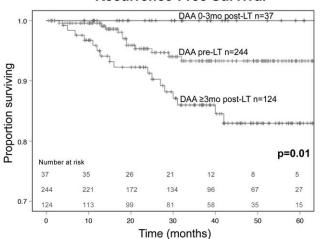
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Recurrence-Free Survival



95% CI 0.22–0.36).¹⁸ In addition, a more recent study from our group demonstrated the association of HCV treatment with improved long-term outcomes in patients treated for HCC, regardless of clinical stage, HCC treatment modality, or treatment facility type.¹⁹ However, it is important to note that the high SVR rates often quoted are derived from studies that include patients with HCV who do not have active HCC. Patients with active HCC seem to achieve significant lower rates of SVR compared to non-HCC patients. In fact, a recent meta-analysis published in 2019 reported SVR rates with DAA therapy in the presence of active HCC were as low as 73%.²⁰ The investigators also determined SVR rates were higher in patients who underwent curative-intent treatments of their HCC before receiving DAA therapy. Although the exact mechanism for this observation is not fully understood, HCC may function as a reservoir for HCV replication. Regardless, with the considerable success of



Recurrence-Free Survival

FIGURE 2. HCC recurrence-free survival by timing of DAA therapy initiation for interferon-naïve patients with HCV-associated HCC within Milan criteria who underwent liver transplantation.

DAAs, interferon-based treatment strategies are no longer relevant to the modern management of HCV. Hence, for this study, we focused on an interferon treatment-naïve patient population. Furthermore, by excluding patients with prior interferon therapy, we removed a potential confounder for the immunologic interaction between HCV, HCC, and transplant immunosuppression, to better isolate the DAA timing question.

Considering the multiple treatment approaches for HCC, complete tumor extirpation, specifically resection or LT, are the preferred curative treatment options, with the latter resulting in the most favorable long-term outcomes.²¹ Although the appropriate treatment strategy is dependent on the tumor burden, liver function, renal function, Child-Pugh status, and the future liver remanent, LT not only allows for clearance of the tumor, but also addresses any underlying liver disease. Other treatment modalities, including liverdirected therapy, radiation therapy, and systemic therapy, are often employed in combination with resection or as a bridge to liver transplant.²² Given patients within Milan criteria are on the waitlist for an average of 8.4 months, many are offered bridging therapy to transplant with the goal of preventing disease progression beyond Milan criteria.²³ Predictably, liver-directed therapies are more frequently employed in liver transplant patients compared to other HCC patients.²⁴ Our data supports this trend. Nonetheless, compared to all other treatment approaches for unresectable disease, liver transplant offers superior oncologic outcomes in well-selected patients, with 5year overall survival surpassing 70%.²⁵

Recent reports have described the immunomodulatory effect of DAAs in the context of HCC and liver transplant. HCV is known to induce hepatocyte apoptosis, oxidative stress, and steatosis, resulting in progressive liver damage, fibrosis, and ultimately end-stage liver disease.²⁶ The 3 main classes of DAAs work by inhibiting specific HCV nonstructural proteins involved in viral replication, namely NS3/ 4A, NS5A, and NS5B.²⁷ Although most do not consider DAAs themselves tumorigenic, DAAs may increase the risk for de novo HCC or recurrence via an indirect mechanism. After eradication of the virus, DAA therapy evokes profound immunological changes. Specifically, DAAs rapidly decrease the cytotoxic function of natural killer cells and mucosal-associated invariant T cells, dampen intrahepatic activation of macrophages, and increase frequencies of suppressive regulatory T cells and myeloid-derived suppressor cells.²⁸ The downstream effects of this are the creation of a persistent immunosuppressed state with ineffective tumor surveillance mechanisms.

Offering DAA therapy before liver transplant allows for the treatment of HCV while the patient is on the waitlist, which reduces chronic hepatitis and may improve liver function.²⁹ Improvements in liver function due to DAA therapy, however, have not been shown to significantly impact waitlist priority or dropout rates.^{30,31} Further, HCV exposure to the liver transplant surgical team is dramatically reduced. A potential disadvantage for administering DAA therapy before liver transplant in the setting of HCC includes decreased rates of SVR, as the presence of HCC tumors may serve as a reservoir for HCV.²⁰ This increases the risk for treatment failure and promotes resistance to re-treatment, which requires additional courses of DAAs, leading to an excess of \$25,000 to 70,000 in costs compared to those who receive DAAs post-LT.^{32,33}

Conversely, reserving DAA therapy until after liver transplant may be preferred based on data demonstrating the improved efficacy of DAAs in achieving SVR, as well as a potential for a decreased risk of recurrence of HCC.³⁴ Further, treating HCV post-transplant increases access to HCV-positive donors, as HCV-positive livers comprise 3% to 15% of donor pools across United Network for Organ Sharing regions.³⁵ Admittedly, this may be less of a clinical concern given recent experiences with transplanting HCV-positive organs into HCV-negative hosts. Consistent with prior literature, our

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study demonstrates initiating DAA therapy 0 to 3 months post-LT was associated with high rates of SVR. Remarkably, among the 20 liver transplant centers included in this consortium, only a minority of patients (10%) received DAAs 0 to 3 months post-LT. Although postoperative complications may lead to a delay in initiating DAA therapy, it is worth noting there was no significant difference in postoperative complication rates between the DAA timing groups. In fact, the postoperative complication rates were slightly higher in the 0 to 3 months post-LT group, compared to the pre-LT and \geq 3 months post-LT groups.

With the widespread use of DAAs, controversial data have emerged suggesting an increased risk of recurrent HCC after DAA therapy. Reig et al reported a high rate of early HCC recurrence of 35% in 20 patients treated with DAAs who underwent resection or received liver-directed therapy.8 Comparably, 19 patients receiving DAAs had an HCC recurrence rate of 42.1% in a study conducted by Conti and colleagues.⁹ These findings may be confounded by a small sample size and lack of a comparison group. More recent studies have not observed an association between DAA administration and HCC recurrence.^{10,36-41} A 2017 meta-analysis by Waziry et al suggested there was no evidence for increased HCC recurrence after SVR was achieved from DAA or interferon-based therapy, however, the impact of timing of therapy as a variable was not taken into consideration.¹¹ The above conflicting literature highlight the significant heterogeneity of study inclusion criteria, HCC treatment modalities, and HCV treatment regimens.

Currently, there is limited data regarding the optimal time to initiate DAAs in the liver transplant population.^{42,43} A study by Gorgen et al sought to answer this question among 516 patients with underwent LT for HCV with concomitant HCC who received DAA therapy either pre or post-LT.⁴⁴ The investigators reported an SVR rate of 93.4% and a 5-year RFS of 93.4% for patients who received DAAs pre-LT and an SVR rate of 96.5% and a recurrence rate of 2.9% for those who received DAAs post-LT. However, the study time period included patients from 2005 to 2015, while DAAs were only widely disseminated in 2015. In addition, a number of patients were transplanted outside of Milan criteria (9.1% pre-LT, 17.2% post-LT) and the median time interval between administration of DAAs and liver transplant was 2.4 months for pre-LT and 24 months post-LT. The comparison groups also included patients who received interferon, a now outdated form of treatment. Our study, in contrast, sought to determine the optimal timing of DAA therapy in the modern era of HCV treatment in an interferon treatment-naïve patient population.

Limitations of this study include those inherent in a retrospective design, specifically the exclusion of missing data. Second, the event rate for recurrence was zero for the patients who received DAAs 0 to 3 months post-LT, limiting our ability to perform multivariable analysis, and the sample size was small in that timing group. However, the fact that there were no events is very striking and warrants further investigation. Third, as this study represents realworld practice patterns, there is variability between institutions regarding DAA regimen, pre-LT therapy, post-LT immunosuppression, and surveillance protocols for HCC recurrence. Fourth, data on specific HCV genotype was not available.

A major strength of this study is mitigation of single-institution or single-provider bias with a consortium of 20 transplant centers in the US. Second, the isolation of our exposure of interest, the timing of DAA therapy, was improved as a result of our selected inclusion criteria for liver transplant patients with HCV-associated HCC who were interferon treatment-naïve and within Milan criteria. Thus, we were able to limit potential biological confounders and better control for immunologic and oncologic variability. Third, the rates of SVR and RFS represent real-world data from among the highest volume liver transplant centers in the US. Lastly, the findings of this study provide clinicians with valuable insights that can be readily applied to clinical practice, although further investigation into the immunologic mechanism is needed.

CONCLUSIONS

In summary, the optimal timing of DAA therapy appears to be 0 to 3 months after liver transplant for HCV-associated HCC, given increased rates of SVR and improved HCC RFS. Importantly, delayed administration of DAAs more than 3 months after liver transplant should be avoided as it is possible that induction of immunosuppression post-transplant in combination with prolonged exposure to HCV and an exaggerated state of T cell impairment created by the late administration of DAA therapy may lead to increased HCC recurrence. A randomized prospective trial is warranted to validate these findings.

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DISCUSSANT

Dr. Robert A. Montgomery:

I want to thank the ASA and meeting organizers for inviting me to comment on the paper entitled, "Optimal Timing of Direct Acting Antivirals for Patients with Hepatitis C-Associated HCC Undergoing LT" which was nicely presented by Dr. Michael Turgeon.

The authors report data from the HCC LT Consortium looking at the timing of DAA treatment among patients who underwent LT between 2015 and 2019. The primary outcomes were sustained viral response and 5-year HCC recurrence-free survival. The major finding was that the optimal timing for DAA therapy was 0-3 months post-transplantation and delaying treatment beyond 3 months was associated with worse outcomes.

Question #1 for the investigators is why were the overall SVR rates lower than expected for pan-genotypic DAAs that have cited cure rates exceeding 95%?

Question #2 when SVR was not achieved was there a dominant genotype or DAA regimen associated with incomplete response?

Response Dr. Shishir K. Maithel

Thank you Drs. Deveney and Drebin. On behalf of all our coauthors, I would first like to thank the American Surgical for the privilege of presenting our study today. It is also truly an honor to have Dr. Montgomery review and comment on our paper. I would also like to acknowledge Dr. Michael Turgeon, a general surgery resident at Emory, for all of his hard work leading this large multiinstitutional study and thank Drs. Shimul Shah and Will Chapman for co-leading this study with us.

I will first address Dr. Montgomery's question regarding the overall SVR rates being lower than expected. The 95% to 97% cure rate that is often quoted is derived from studies that include patients with HCV who do not have HCC. Patients with active HCC seem to achieve significantly lower rates of SVR compared to non-HCC patients. In fact, a recent meta-analysis published in 2019 by one of our coauthors, Dr. Mindie Nguyen from Stanford University, reported that the SVR rates with DAA therapy in the presence of active HCC were as low as 73%. They also found that SVR rates were higher in patients who underwent curative intent treatments of their HCC before receiving DAA therapy. Although the exact mechanism for this observation is not fully understood, HCC may function as a reservoir for HCV replication. Further, the usual associated cirrhosis that is present in patients who have HCV and HCC may lead to impaired innate and adaptive immune responses due to reduced cytotoxicity of natural killer cells and T cell exhaustion, leading to suboptimal SVR rates in these patients.

With regards to the second question regarding genotypes, we unfortunately did not have the granularity of specific genotypes in

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our database, so that is definitely a limitation of this study. Given the time frame of our study from 2015 to 2019, 50% of the patients received Harvoni. Since genotypes 1 and 2 comprise the vast majority of HCV infections, despite Harvoni not having activity against Genotype 3, which makes up only 10% to 12% of infections, the SVR rate for Harvoni was 88%. Epclusa had an SVR rate of 94% and interestingly Mavyret had the lowest SVR rate of 79%. Despite these small differences in SVR rates between the 3 most common regimens, on binary logistic regression analysis, it is important to note that no one regimen was significantly associated with a lower SVR rate.

Clearly, the interaction between HCV, HCC, post-transplant immunosuppression, and DAA therapy is very complex and affects both the success of DAA therapy in achieving SVR, and the success of LT in achieving cure of HCC. Furthermore, achieving SVR seems to also be associated with improved oncologic outcomes for HCC as well. Thus, we strongly feel that the optimal timing of DAA therapy needs to be studied in a prospective clinical trial and the underlying immunologic mechanisms that drive these clinical observations need to be studied and understood.

Again, thank you to the American Surgical Association for the privilege of presenting our work today.