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https://doi.org/10.1523/ENEURO.0069-19.2020

Cite as: eNeuro 2020; 10.1523/ENEURO.0069-19.2020

Received: 21 February 2019
Revised: 11 August 2020
Accepted: 1 October 2020

This Early Release article has been peer-reviewed and accepted, but has not been through the composition and copyediting processes. The final version may differ slightly in style or formatting and will contain links to any extended data.

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Predictive processing during a naturalistic statistical learning task in ASD

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6. Number of Figures: 4    Number of Tables: 2    Number of Multimedia: 0

7. Number of words
   Abstract (205), Significance Statement (110), Introduction (750), Discussion (1524)

8. Acknowledgements: We thank Stefanie Younce for assistance with data collection and University of Michigan Department of Psychology and the Center for Human Growth and Development.

9. The authors report no conflict of interest.

10. This work was funded in part by the University of Michigan M-Cubed initiative (JB, IK, RLO).
Abstract

Children’s sensitivity to regularities within the linguistic stream, such as the likelihood that syllables co-occur, is foundational to speech segmentation and language acquisition. Yet, little is known about the neurocognitive mechanisms underlying speech segmentation in typical development and in neurodevelopmental disorders that impact language acquisition such as Autism Spectrum Disorder (ASD). Here, we investigate the neural signals of statistical learning in 15 human participants (children ages 8-12) with a clinical diagnosis of ASD and 14 age- and gender-matched typically developing peers. We tracked the evoked neural responses to syllable sequences in a naturalistic statistical learning corpus using magnetoencephalography (MEG) in the left primary auditory cortex, posterior superior temporal gyrus, and inferior frontal gyrus, across three repetitions of the passage. In typically developing children, we observed a neural index of learning in all three regions of interest, measured by the change in evoked response amplitude as a function of syllable surprisal across passage repetitions. As surprisal increased, the amplitude of the neural response increased; this sensitivity emerged after repeated exposure to the corpus. Children with ASD did not show this pattern of learning in all three regions. We discuss two possible hypotheses related to children’s sensitivity to bottom-up sensory deficits and difficulty with top-down incremental processing.
Significance Statement

Language acquisition involves segmenting the continuous speech stream into sounds, syllables, and words. Learning these units relies on both the properties of the input, as well as emerging high-order cognitive mechanisms that guide learning from the top-down. We examined the neurobiology underlying the integration of top-down and bottom-up information in statistical speech segmentation in children with and without ASD. We offer evidence of neural and behavioral effects of syllable-to-syllable processing in speech segmentation that differ in typically developing children from children with a clinical diagnosis of ASD. Our findings inform developmental and cognitive theories of language acquisition by examining the computational nature of speech segmentation across different populations of learners.
Introduction

Language acquisition involves segmenting continuous speech into sounds, syllables, and words. By detecting statistical regularities in the input, learners can incrementally anticipate upcoming information for subsequent word learning. For instance, after two minutes of exposure to a foreign language, infants begin to identify statistically frequent syllable sequences and treat those as labels for novel objects (e.g. Hay et al., 2011). Learning the linguistic units relies on the properties of the input; it is a bottom-up driven cognitive process. In parallel, experience and high-order cognitive mechanisms also guide this learning process from the top-down (Kuhl, 2004; Werker, 2018). However, little is known about the neurobiology underlying the integration of bottom-up and top-down information in statistical speech segmentation. This is an important knowledge gap that impedes our understanding of acquisition in typical development and neurodevelopmental disorders that impact language acquisition, such as Autism Spectrum Disorder (ASD; Tager-Flusberg, Paul, & Lord, 2005). We investigate neural signals underlying statistical learning in children with and without ASD using Magnetoencephalography (MEG).

Behavioral work suggests that children with ASD may be as equally equipped as their neurotypically developing (NT) peers to use statistical patterns to find words in speech (Obeid et al., 2016). For example, Mayo and Eigsti (2012) varied the likelihood that syllables co-occur (transitional probability, or TP) in a 21-minute long corpus and found similar segmentation outcomes for children with and without ASD. Scott-van Zeeland et al. (2010) also found comparable learning performance between NT and ASD children after exposure to a continuous speech stream. Importantly, the groups differed in their neural responses. With increased exposure to the input, NT children showed reduced activation in a fronto-temporal-parietal network while children with ASD did not show task related changes in brain activity.
Both prior studies used artificial language materials which lacked varying prosodic and stress patterns integral to every-day speech (Johnson & Jusczyk, 2001), thus, leaving open the question of how individuals would perform given more natural language input. Indeed, children with ASD may struggle to find words in natural speech for at least two reasons. MEG studies show that children with ASD have a delayed mismatch response to speech and non-speech sounds (Roberts et al., 2011) and demonstrate atypical responses to irregular speech sound sequences (Brennan et al., 2016; Galilee, et al., 2017). This may indicate potential deficits in bottom-up early sensory processing of speech. We label this the *sensory-differences* hypothesis.

In addition, children with ASD have difficulty extracting global regularities (“weak central coherence”; Frith, 1989) and allocating attention within sound sequences (Whitehouse & Bishop, 2008), which may be a disadvantage in the types of top-down processing necessary for statistical learning. Such differences are supported by reduced patterns of activation in a network of fronto-temporal regions associated with typical language acquisition (Redcay & Courchesne, 2008) which are more pronounced in children who have poor language learning outcomes (Lombardo et al., 2015). We label this the *prediction-differences* hypothesis. We propose that early sensory deficits *and/or* atypical predictive processing may lead to difficulties in extracting statistical regularities from fluent speech.

We asked children to listen to naturally spoken passages in Italian with a range of TPs between syllables. We quantify TP using the information processing metric of *surprisal*, defined as the inverse-log of conditional probability between two syllables (see Method for details; Hale, 2016). We apply this metric for the first time to measure syllable-to-syllable prediction in natural speech with a focus on children with and without ASD. To tease apart the hypotheses, we track evoked neural responses for syllables in left hemisphere regions implicated in key steps of
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speech processing (Hickok & Poeppel, 2007): early perception in the primary auditory cortex (LAC), mapping percepts to linguistic units in the posterior superior temporal gyrus (pSTG), and higher-order analysis of linguistic regularities in the inferior frontal gyrus (IFG). Passages were repeated three times to capture a neural index of learning, defined as change in the evoked amplitude as a function of surprisal across repetitions. In NT children, we expect to see the index of learning across all three regions of interest. As surprisal increases, amplitude of the evoked neural response should increase; this sensitivity should emerge after repeated exposure to the passages. Crucially, this effect may differ between the NT and ASD groups. The sensory deficit hypothesis holds that ASD individuals will show reduced sensitivity to surprisal in early sensory regions, such as the left LAC and pSTG. The prediction hypothesis holds that children will show reduced sensitivity to surprisal within higher order regions like the left IFG.

Materials & Methods

Participants

Fifteen children with ASD (1 female, $M_{\text{age}} = 10.00, SD = 1.16$) and fourteen age and gender matched neurotypically developing children ($M_{\text{age}} = 10.06, SD = 1.46$) participated in the study. All children (age range = 8-12 years) were pre-screened for eligibility through a phone interview with a parent or caregiver and were monolingual English speakers. The study was approved by all participating institutional review boards, as part of a larger project assessing language and communication in ASD using MEG (Brennan et al., 2016; Brennan et al., 2019; Lajiness-O'Neill et al., 2018). Parents and children provided informed consent and assent and received monetary compensation for their participation.
Inclusion and Exclusion Criteria

Participants were recruited through local clinics and communities in southeast Michigan. During pre-screening, caregivers completed the Social Communication Questionnaire (SCQ; Rutter, Bailey, & Lord, 2003). The SCQ is a 40-item caregiver screening to assess communication and social functioning in individuals who may have an autism spectrum disorder. Items referenced across the symptomology domains of ASD are totaled for a single score and a cut-off classification score of 11 is often used for research purposes (Rutter et al., 2003). To participate in the current study, ASD-likely candidates required a SCQ ≥ 11 (Corsello et al., 2007) and NT participants required a SCQ < 11.

The Behavior Assessment System for Children (BASC; Reynolds & Kamphaus, 2002) and the Wechsler Abbreviated Scale of Intelligence-2 (WASI-2; Wechsler, 2011) were administered to rule out adaptive and intellectual deficits consistent with intellectual disability. The BASC measures general behaviors and emotions of children such as hyperactivity, aggression, and conduct problems. The WASI-2 is a brief and reliable measure of intellectual functioning and includes subtests tapping into verbal, nonverbal, and general cognition. Inclusion criteria for all participants included at least Low Average intelligence (Full-Scale IQ (FSIQ) ≥ 80; Wechsler, 2011). A formal diagnosis of all ASD-likely participants was based on the Diagnostic and Statistical Manual of Mental Disorders – Fifth Edition (DSM-5; American Psychiatric Association, 2013) diagnostic criteria and the Autism Diagnostic Observation Schedule (ADOS), administered by a clinical and research reliable psychologist (Lord et al., 2012). The ADOS is a semi-structured standardized assessment of communication, play, social interaction, and restricted and repetitive behaviors. To confirm the diagnosis of ASD, the ADOS Module 3 was
administered. The revised algorithm (see Gotham, Risi, Pickles, & Lord, 2007) was used to compute individual and a combined total score for sub-domains of social interaction, communication, and stereotyped behaviors/circumscribed interests. Participants with ASD had a combined total score above the clinical cut-off suggestive for autism (Gotham et al., 2007).

Exclusionary criteria for ASD and NTs included any known history of head injury with loss of consciousness, other neurological disorders including active epilepsy/seizures, environmental deprivation, anxiety disorders or other forms of psychopathology, and anything that might interfere with the MEG procedure (e.g. dental braces). Additional exclusion criteria for NTs included any history of developmental delay or a first-degree relative with an ASD diagnosis. Two NT participants were excluded from analyses due to equipment error during MEG data acquisition and one ASD participant was excluded due to an inability to comply with the task demands and tolerate the assessment procedures. The final group of 29 did not significantly differ in age or gender (see Table 1).

**Experimental Design**

Participants passively listened to approximately six minutes of a naturally produced passage in a foreign language (Italian) modeled after stimuli previously used by Hay et al. (2011; see Figure 1). The Italian passage consisted of grammatically plausible but semantically nonsensical sentences made up of legal Italian words. To ensure natural production of Italian pronunciations, a female native Italian speaker recorded three different instances of the passage. Each participant listened to all three versions (three repetitions) presented via E-Prime Software 2.0 (Schneider, Eschman, & Zuccolotto, 2002). Of interest were the relative distributions and occurances of eight key target syllables (fu, ga, me, lo, ca, ne, bi, ci) presented throughout the
passage. A trigger signal marking the onset of each passage segment was used to pinpoint the time
signature of these syllables, which was then aligned with the continuous MEG signal.

We tracked the exact timing of the syllable occurrences and the resulting brain responses
given the following methodological manipulation. For each occurrence of a target syllable, the
forward internal transitional probability between its preceding syllable and the target syllable
was calculated (i.e. frequency of target syllable given frequency of preceding syllable; TP =
P(σ₂|σ₁)). TP for all target syllables ranged from 0.028 to 1.00. These TP values were converted
to surprisal (surprisal = -log₂(TP)) as prior work on phonological and lexical processing has
shown that linguistic frequencies affect processing on a logarithmic scale (Hale, 2001, 2016).
This yielded a total of 576 surprisal values for each presentation of the target syllables across the
three repetitions of the passage for each participant (see Figure 2 for distributions of surprisal).
This metric of surprisal allows us to measure, in a continuous way, the information conveyed by
a linguistic event, such as the likelihood of a particular syllable, based on its given context. Thus,
a context of low syllable-to-syllable TP yields high surprisal and high syllable-to-syllable TP
yields low surprisal.

The surprisal metric taps into the brain’s sensitivity to statistical regularities at multiple
levels of representation (Hale, 2001; Levy, 2008). Prior work with surprisal has documented
behavioral and neurobiological measures on adults at syntactic (Brennan et al. 2016a; Frank,
Otten, Galli, & Vigliocco, 2013; Gwilliams, Linze, Poeppel, & Marantz; Gwilliams & Marantz,
2015; Lopopolo et al., 2017; Monsalve, Frank, & Vigliocco, 2012; Willems et al., 2015) and
lexical or phonemic levels of processing (Gwilliams & Marantz, 2015; Gwilliams et al., 2018;
Lopopolo et al., 2017).
The target syllables were drawn from four target words (fuga, melo, cane, bici) that were systematically placed throughout the stimuli. The component syllables of fuga and melo (fu, ga, me, lo) appeared nowhere else in the passage, giving these words a high TP = 1.0 (surprisal = 0.0). In contrast, the component syllables of cane and bici (ca, ne, bi, ci) appeared within the passage another 24 times each (e.g. taCI, CAro), thus giving them a lower TP = 0.33 (surprisal = 1.585). The syllables of these words appeared 36 times within the passage, only 12 of which were in the target words and the others as initial (e.g. CAdi), medial (e.g. sindaCAto), or final (e.g. spreCA) syllables. The inclusion of these four legal Italian words, comprised of the key target syllables, allowed us to control and test for statistical learning effects of relatively moderate and highly predictive syllable sequences within a continuous and varied range of syllable probabilities.

**Behavioral Measures**

After listening to the Italian passages, a statistical learning post-test was given outside the scanner to explicitly measure children’s ability to distinguish words with transitional probability of 1.0 and 0.33 from novel Italian words that did not occur within the corpus. Children listened to a pair of words presented via E-Prime Software 2.0. One of the two words was a bi-syllabic target word from the passages and the other word (non-target) was one of four bi-syllabic Italian words comprised of syllable combinations that were not included in the Italian corpus (e.g. mugo, azza, pipa, zebu). However, the component syllables of these novel words did appear in the Italian passages (e.g. mu). Children were tested using a two-alternative forced-choice task by asking, “Which of the following two words could be a possible word in the language you just heard?” Participants were instructed to press the ‘1’ key if the first word could be a possible word in the foreign language, and similarly, to press the ‘2’ key if the second word could be a
possible word in the foreign language. Children completed four practice trials using common English words (e.g. teacher) vs. nonsense, phonotactically illegal words (e.g. pmfkin) followed by sixteen trials of the Italian target and non-target pairs of words. Standardized measures of language and attention were also obtained as part of the larger project investigating language and communication in children with ASD (see Table 1). For the purpose of this particular study, we simply report descriptive statistics on a subset of these measures to note the language and communication skills of the ASD group studied here, and for discussion in relation to the previous studies of statistical learning in children with ASD (Mayo & Eigsti, 2012; Scott-van Zeeland et al., 2010). Measures of language include the Comprehensive Test of Phonological Processing (CTOPP; Wagner, Torgesen, & Rashotte, 1999), Clinical Evaluation of Language Fundamentals (CELF-5; Semel, Wiig, & Secord, 2006), and Test of Problem Solving (TOPS 3; Bowers, Huisingh, & LoGiudice, 2005) to assess phonological, syntactical, grammatical, and pragmatic competence, respectively. A test of auditory attention included the Auditory Attention subtests of the NEPSY Developmental Neuropsychological Assessment (NEPSY-II; Korkman, Kirk, & Kemp, 2007).

**Procedure**

Participants completed the neuroimaging portion (~10 minutes), immediately followed by the behavioral statistical learning test, and lastly, the behavioral battery of language and cognitive assessments (60-90 minutes). Participants laid supine on a bed with a helmet-shaped dewar containing 148 Magnetometer MEG sensors placed around their head (4D Neuroimaging). Children were instructed to keep their eyes open (monitored via video) and listen to the foreign language while remaining as still as possible. During scanning, the stimuli were delivered via computer speakers placed at an aperture in the shielded room; loudness was set at a comfortable
level for each participant.

**Data Acquisition and Processing**

Three small electrode coils, used to transmit head location information to the neuromagnetometer probe, was affixed to each participant’s forehead with two-sided tape. Additional localization coils were attached to each preauricular point (PA), anterior to the tragus of the ear on the two sides of the head. Standard automatic probe position routines (4D Neuroimaging Hardware, San Diego CA) were used to locate the five coils placed on the head with respect to the neuromagnetometer detector coils and to digitize the shape of the head for coregistration to a standard MRI. Neuromagnetic fields were recorded with a whole-head 148-channel magnetometer (WH 2400, 4D Neuroimaging system). During acquisition, the data was band-pass filtered between 0.1 - 100 Hz and digitally sampled at 508.63 Hz. Data was recorded continuously for later analyses. The onset of each repetition of the Italian passage was recorded as pulse codes whose strength indicated the type of stimulus on a trigger channel collected simultaneously with the MEG data. The location of events on the trigger and response channels were used to select epochs from -0.3 to 1 s of MEG data around each target syllable for each 2-minute repetition of the passage. Data analysis was performed using the Fieldtrip toolbox for EEG/MEG-analysis (Oostenveld, Fries, Maris, & Schoffelen, 2010).

Extra-cranial sources of interference were attenuated by subtracting signals recorded by 5 gradiometer and 6 magnetometer reference channels placed approximately 15-20 cm from the head. Epochs were filtered using a discrete Fourier transform filter at 60Hz, 120Hz, and 180Hz with a 2 second padding and a high pass filter at 0.5 to attenuate line noise. Trials and channels containing artifacts were removed based on visual inspection. No more than 23 channels of 148 and 106 trials of 576 were removed during artifact rejection (mean trials removed ASD = 50, NT
The two groups did not significantly differ on the total number of channels ($t(27) = 0.11, p = 0.74$) or trials ($t(27) = 2.07, p = 0.16$) removed.

**Regions of Interest (ROIs) Analysis**

Source time-courses were reconstructed onto a 7 to 11-year-old pediatric template brain (Fonov et al., 2011) at four regions of interest using Montreal Neurological Institute (MNI) coordinates. Three ROIs were selected a-priori based on previously reported findings on statistical learning paradigms in the speech domain with adults (Karuza et al., 2013), which included left primary auditory cortex ($x = -48, y = 18, z = 2$), posterior region of the left superior temporal gyrus ($x = -64, y = -12, z = 4$), and left inferior frontal gyrus (BA 44; $x = -52, y = 26, z = -6$). We also included a right superior parietal region ($x = 24, y = -46, z = 60$) as a control region of interest.

Single-trial source-localized time-courses were estimated using a Linear Constrained Minimum Variance (LCMV) beamformer (Van Veen, Van Drongelen, Yuchtman, & Suzuki, 1997). The LCMV beamformer forms a linear combination of the external field measurements to monitor the activity at a single brain location, while optimally suppressing all other noise and other source contributions to the MEG data. The beamformer filter was estimated using a sensor covariance matrix based on the average of all epochs per participant. MEG sensor averages were then projected through the filter for each location, yielding source time-courses in three dimensions for each ROI. The root-mean-square (RMS) time-course within three 100 ms time-bins (Teinonen et al., 2009): 200-300 ms, 250-350 ms, and 300-400 ms following syllable onset, at each location, per participant, per trial, for each repetition of the passage was entered into the statistical analysis. Time windows of interest were chosen based on two related accounts: first, prior work shows consistent modulation of the evoked response between 200-500ms during
statistical segmentation of a syllable stream (Cunillera, Toro, Sebastián-Gallés, & Rodríguez-Fornells, 2006; Sanders, Newport, & Neville, 2002); second, theoretical frameworks of speech perception suggest that temporal sampling of the speech stream for syllables occurs over longer intervals, roughly 150–300ms, and that this time window carries syllable-boundary and syllabic-rate cues, as well as, other prosodic and stress cues relevant for the type of perceptual processing assessed here (Giraud & Poeppel, 2012; Hickok & Poeppel, 2007; Näätänen & Picton, 1987; Poeppel, 2003).

**Statistical Analysis**

To test for a *neural index of learning*, we measured the relative change in evoked response amplitude as a function of surprisal across the repeated passages. A linear mixed-effects model was fit using the lmer function in the lme4 package in R (Bates, Mächler, Bolker, & Walker, 2015) with passage repetition, ROI, group, and time window as categorical variables and surprisal as a continuous variable (all as fixed effects). Variation among participants was taken into account by including individuals as a random effect intercept. *p*-values were computed via the Satterthwaite approximation using the lmerTest package in R. Statistical inference was based on *F*-tests of main effects and higher order interactions using the anova function in R. We excluded 54 trials from statistical analyses corresponding to target syllables with only one occurrence (i.e. a trivial case of TP = 1.0, surprisal = 0).

Additionally, a Bayesian multilevel model was fit using the brms package (Bürkner, 2017) with the same parameters as mentioned above. Models were fit using two chains of 1000 warm-up iterations and 2000 sampling iterations. Prior distributions on all terms were the default values from brm(). To report on the key manipulations of interest (e.g. change in evoked response as a function of surprisal for third repetition between NT and ASD groups), we
extracted the mean $\beta$ coefficient and the 95% credible interval (CI) for the slope of the amplitude over surprisal as sampled from the posterior distribution of the model. All model terms had a R-hat value $\leq 1.01$.

For behavioral responses on the statistical learning task, the proportion of correct responses was calculated out of 16 trials from 14 NT and a subset of 12 ASD participants who completed the task (three ASD children did not complete the post-scan behavioral test due to computer error and/or inability to comply with the task demands).

**Code Accessibility**

The brms model output described in the paper is freely available online at Open Science Framework, [https://osf.io/zbvhc/](https://osf.io/zbvhc/).

**Results**

**Statistical Learning Behavioral Results**

Performance on the Italian behavioral test is shown in Figure 3. A two-way ANOVA [Group (NT, ASD) x Transitional Probability (high, low)] revealed there was a significant main effect of group ($F(1, 48) = 24.3, p < .001, \eta_p^2 = .34$). Neurotypically developing children outperformed children with ASD in correctly identifying both the high TP ($t(24) = 2.78, p = .002, \text{Cohen’s } d = .97$) and low TP ($t(23) = 4.33, p = .001, d = 1.28$) words from novel Italian words, as revealed by independent sample $t$-tests. There was no group by TP interaction effect ($F(1, 48) = .95, p = .33, \eta_p^2 = .02$). In both groups, there were no differences in accurately identifying high TP from low TP words in comparison to novel Italian words (no main effect of condition; $F(1, 48) = .02, p = .89, \eta_p^2 = .00$). Therefore, accuracy on all trials were averaged as one and counted as total proportion of correct responses for each group and tested against chance (i.e. 0.5). One-sample $t$-tests showed that NT children had above-chance accuracy in identifying
the target-words (M (SD) = 0.68 (0.17); \( t(13) = 3.93, p = .002, d = .13 \)), whereas children with ASD performed below chance in accurately identifying the target words (M (SD) = 0.40 (0.14), \( t(11) = -2.71, p = .02, d = -1.23 \)).

**MEG Results**

Figure 4 shows the linear effect of evoked response amplitude as a function of syllable surprisal for each group, region of interest, and passage repetition. These plots are averaged across time-windows for ease of visualization (the statistical results, summarized below, showed no higher-order interactions with time). ANOVA results are reported in Table 2.

A neural index of learning would be reflected by an increase in the amplitude of the evoked response as a function of surprisal and passage repetition. We tested whether this interaction effect differed across groups, ROIs, and time-windows. We found a key four-way interaction showing surprisal by passage repetition varied by group and ROI (\( p = .001, \eta^2_p = .95 \)). This interaction reflects the fact that a positive slope for the effect of surprisal emerged in the third repetition for NT participants but not for ASD participants. The pattern of positive slope in the third repetition in the NT group is consistent across the left LAC, pSTG, and IFG regions and differs for the right parietal region.

We further break-down this interaction effect. In LAC (Figure 4a), for the NT group, the effect of evoked response amplitude across surprisal (slope of blue lines) shows a positive incline in the third passage repetition relative to the first two passage repetitions (\( \beta = 4.53, C_{95\%} = [2.85, 6.21] \)). This pattern of data differs for the ASD group where we observe a flat trend in passage repetition three in the LAC (\( \beta = -1.66, C_{95\%} = [-2.86, -0.44] \)), relative to the first two passage repetitions. In LSTG (Figure 4b), for the NT group, the blue line is overall flat for the first two repetitions and shows a positive trend in the third passage repetition. Meanwhile, the ASD
group’s blue lines reflect a slight negative trend in the first and third repetitions and a positive trend in the second repetition. In the LIFG (Figure 4c), we again observe overall flat blue line for the NT group in passage repetition one and a positive trend in the second and third repetitions; no such pattern is observed for ASD across all three repetitions. In the right superior parietal, as expected, we observe no learning response across passage repetitions in both NT and ASD groups (Figure 4d).

The ANOVA showed a marginally significant three-way interaction of surprisal by repetition by group effect ($p = .046$, $\eta_p^2 = .82$). Additionally, we observed several significant two-way interactions: the effect of surprisal varied across ROI ($p < .001$, $\eta_p^2 = .96$), brain activity across the three repetitions varied by ROIs ($p = .001$, $\eta_p^2 = .95$), the effect of surprisal varied by group ($p = .032$, $\eta_p^2 = .77$), and brain activity at the three ROIs varied by group ($p = .001$, $\eta_p^2 = .94$). We also observed several lower-order significant effects including main effects of surprisal ($\eta_p^2 = .94$), passage repetition ($\eta_p^2 = .94$) and regions of interest ($\eta_p^2 = 1.0$; all $p < .001$). The main effect of time window ($\eta_p^2 = .64$) and group ($\eta_p^2 = .01$) were not significant. The five-way interaction between surprisal, time window, repetition, ROIs, and group was not significant.

Discussion

The present study used surprisal to investigate the neural mechanisms underlying speech segmentation in typical development and in children with ASD. Speech segmentation, foundational to language acquisition, requires the integration of top-down and bottom-up cognitive processes. To this end, we proposed two possible hypotheses as to why children with ASD might struggle to use distributional cues to find words in speech: a sensory-differences hypothesis that suggests potential deficits in the bottom-up early sensory processing of auditory input, and a prediction-differences hypothesis related to potential deficits in the high-order
analysis of concatenated input. To investigate these two hypotheses, we used MEG to examine
the functionality of the left primary auditory cortex, left posterior STG, and left IFG region
during a passive language listening paradigm. Our key interest was a neural index of learning,
measured as an increase in the amplitude of the evoked response as a function of surprisal. We
expected this interaction to emerge with repeated exposure to the language paradigm. Critically,
we tested whether neural responses differed across groups and regions of interest. We observed
the neural index of learning in typically developing children, but not in the children with ASD,
across all three regions of interest. These data speak to two competing hypotheses.

First, prior literature on speech and sound processing have shown that children with ASD
present with low-level auditory processing deficits, such as disruptions or delays in early neural
responses to both verbal and non-verbal acoustic stimuli (Bomba & Pang, 2004; Edgar et al.,
2014; 2015; Jeste & Nelson, 2009). In fact, the set of children with ASD in this sample
previously showed atypical responses to phototactically illegal, in comparison to legal,
sequences (Brennan et al., 2016). Our LAC and pSTG results are consistent with the sensory-
differences hypothesis that suggests a possible disruption in initial acoustic processing may have
led to difficulties in extracting speech sound patterns from natural fluent speech (Roberts et al.,

Second, research into the development of auditory pathways in ASD show atypical
development of white matter and cortical function within the auditory and language systems
(Berman et al., 2016), such as delayed STG auditory 100 ms responses (Roberts et al., 2010) and
atypical hemispheric lateralization of auditory responses (Stroganova et al., 2013). These
patterns of responses in auditory processing may be due to the documented deficits of orienting
attention (Whitehouse & Bishop, 2008). ASD children in this study showed a varied pattern of
neural responses to syllable sequences as compared to neurotypical peers, within and across all three regions of interest. Specifically, the IFG results are in line with the prediction-differences hypothesis. Prior work has suggested that the language network’s feed-forward mechanisms of higher-order computations might be particularly impaired in those with ASD and poor language learning outcomes (Courchesne & Pierce, 2005; Redcay, Haist, & Courchesne, 2008). While speculative, such impairments have the potential to propagate extraction and integration learning deficits in ASD, especially in the beginning phases of learning.

Behavioral measures of statistical learning suggest that ASD children could be as sensitive to statistical regularities as their typically developing peers (Haebig, Saffran, & Weismer, 2017), across paradigms with (Scott-van Zeeland et al., 2010) and without (Mayo & Eigsti, 2012) additional cues to segmentation. In the present study, most of the ASD children were unable to identify the target syllable pairs heard within the novel fluent speech relative to a foil. Performance for this group of children with ASD was significantly below chance, suggesting that some learning may be happening within the six-minute exposure. The pattern of data suggests that children with ASD were able to recognize some syllable components that were part of words used in the post-scan behavioral test, but not the syllable sequences that formed the target words. One interpretation of these findings is consistent with to our second hypothesis relating to higher-order analysis of linguistic events. Children with ASD may have been sensitive to the frequency of syllables presented but failed in the appropriate grouping of syllable sequences given the distributional cues. This is an interesting finding that warrants further investigation.

Our NT and ASD children did not differ in their phonological competence, though they differed on measures of attention, syntax, and pragmatics. Children with ASD showed normative
performance on the phonological awareness tasks that ask children to segment and manipulate word sounds (e.g. Elision, CTOPP), but poorer performance on syntax tasks (e.g. Formulating Sentences, CELF-4) that tap into children’s knowledge of language structure. Observed differences in neural learning patterns within left hemisphere regions and poor statistical learning performance in ASD may be revealing of ASD children’s underlying difficulty in extracting linguistic structure or sequence learning that extends beyond processing of single speech sounds. However, exploratory bivariate correlations between language and attention measures with experimental task performance indicated no meaningful trends ($r = .01 - .37$). The sample size significantly limits our ability to examine the links between the current paradigm and children’s language or cognitive skills. In future work, we aim to take a closer look at defining sub-populations of children with ASD and their learning outcomes.

The Italian statistical learning paradigm, adapted from Hay et al. (2011), maintained virtually all complexities found in natural speech with the exception that the transitional probabilities between syllable sequences were precisely manipulated in a subset of words. By specifically examining prediction-based processing demands with the measure of surprisal, we were able to assess the computational nature of statistical learning across a range of unexpectedness values. This allowed us to control and test for statistical learning effects of relatively moderate and highly predictive syllable sequences within a continuous and varied range of syllable probabilities. Prediction has been implicated as an important component of early learning (Romberg & Saffran, 2013) and some suggest prediction plays a major role in the underlying impairments observed in ASD (Sinha et al., 2014). This hypothesis suggests that tracking of statistical regularities in ASD might compare to neurotypical peers when the environment is relatively stable, and perhaps with longer exposure time (e.g. 21-minutes in Mayo
However, when tasks involve varying distribution of events (e.g., range of probabilities), integration of new events with prior experiences may be more difficult for children with ASD, resulting in learning differences between the two groups.

The use of a naturalistic language paradigm, combined with MEG imaging, is one of the key innovations of this study. Previous studies of speech segmentation that vary the type and number of speech cues available to learners have found differences in the neural activity across manipulations, despite participants’ inability to behaviorally detect differences between conditions. This has been documented in a sample with typically developing children (McNealy, Mazziotta & Dapretto, 2010; Scott-van Zeeland et al., 2010) and adults (McNealy, Mazziotta & Dapretto, 2006) using fMRI. Scott-van Zeeland et al. (2010) found that both children with and without ASD were at chance in their behavioral learning performance. Importantly, they differed in their neural responses. First, the authors found that patterns of brain activity in the fronto-temporo-parietal network changed with the increase in the number of cues to word boundaries, but only in the group of typically developing children. Second, the authors observed a lack of frontal lobe engagement during task of speech processing in children with ASD. Lastly, children with more severe communicative deficits showed fewer changes in brain activity with increased exposure to speech. Our results parallel these findings and provide corroborating support for the hypotheses that integration of top-down and bottom-up cognitive processes are involved in successful speech segmentation, which may be impaired in children with ASD. In the present study, we found no evidence of a timing effect in relation to early speech processing in the auditory cortex and later analysis in higher-level auditory and speech processing regions. This an interesting null result that warrants further investigation with a more granular experimental design.
The use of the beamforming method for localization introduces some limitations, such as possible differences in the quality of fit between ASD and NT groups. Thus, we cannot rule out an anatomical-based explanation of our results. However, we have two reasons to think such an explanation is not likely. First, potential anatomical differences in ASD and NT may be smaller than the spatial specificity of the beamformer. Second, the anatomical differences in the left hemisphere between ASD and NT groups pointed out by Berman et al. (2016) emerge at later ages than the 8- to 12-year-old range studied in our sample. To test this reasoning in future studies, we could measure the statistical fit of the beamforming method across the two groups or acquire individual MRI anatomical scans for each participant to estimate source localizations with more precision.

In sum, the present study offers first time evidence investigating the neural mechanisms underlying statistical learning using a naturalistic language paradigm, in typical development and in children with ASD. Results show neural and behavioral effects of speech segmentation specific to syllable-level surprisal, extending previous work by examining statistical learning from two perspectives – input-driven auditory processing and higher-order predictive processing. These findings offer insight into the cognitive mechanisms foundational for language acquisition and helps inform our understanding of development across different populations of learners.
References


Figure 1. Schematic of the experimental stimuli as adapted from Hay et al. (2011). An excerpt of the ~2-minute-long Italian passage showing key target (controlled) syllables (red) and non-controlled syllables (green) pairs. The passage was repeated three times for a total duration of ~6 minutes.

<table>
<thead>
<tr>
<th>1.9</th>
<th>0</th>
<th>3.0</th>
<th>1.6</th>
<th>1.9</th>
<th>1.6</th>
<th>3.7</th>
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<tr>
<td>0.6</td>
<td>3.7</td>
<td>1.9</td>
<td>1.6</td>
<td>3.7</td>
<td>0</td>
<td></td>
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</table>

\[ | = \text{time locked syllable event with surprisal value below} \]
\[ \alpha_1, \alpha_2 = \text{non-controlled syllable pairs} \]
\[ \alpha_1, \alpha_2 = \text{controlled syllable pairs} \]
Figure 2. Histogram of the range of surprisal distributions of surprisal values across all target syllables.
Figure 3. Proportion of correct responses to high and low transitional probability target words in comparison to novel Italian words, calculated out of 16 trials from 14 NT and a subset of 12 ASD children who completed the behavioral learning test. Error bars represent standard error.
Figure 4. Linear effect of evoked response amplitude (averaged across time windows) as a function of syllable surprisal for each group and region of interest across the first, second, and third passage repetitions (light blue to dark blue lines). Grey shading represents standard error.
Table 1. Mean (standard deviations) of standardized assessments. T-statistic and p-values are reported for a two-tailed independent samples test. Effect sizes are reported using Hedges' g.

<table>
<thead>
<tr>
<th></th>
<th>NT</th>
<th>ASD</th>
<th>t</th>
<th>p</th>
<th>g</th>
</tr>
</thead>
<tbody>
<tr>
<td>Gender (M:F)</td>
<td>13:1</td>
<td>14:1</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Age (years)</td>
<td>10.00 (1.64)</td>
<td>10.06 (1.47)</td>
<td>-.10</td>
<td>.10</td>
<td></td>
</tr>
<tr>
<td>CTOPP Phonological Awareness (std. score)</td>
<td>14 91.00 (13.36) 15 94.07 (18.99)</td>
<td>-0.51</td>
<td>.617</td>
<td>-.18</td>
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<tr>
<td>TOPS Inferences</td>
<td>14 103.86 (8.57) 11 86.00 (20.07)</td>
<td>2.86</td>
<td>.012</td>
<td>1.15</td>
<td></td>
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<tr>
<td>TOPS Predicting</td>
<td>14 104.07 (11.85) 13 80.54 (17.25)</td>
<td>4.10</td>
<td>&lt;.001</td>
<td>1.55</td>
<td></td>
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<tr>
<td>CELF Formulating Sentences (scaled score)</td>
<td>10 14.60 (1.26) 7 8.86 (5.27)</td>
<td>2.83</td>
<td>.028</td>
<td>1.56</td>
<td></td>
</tr>
<tr>
<td>CELF Concepts &amp; Following Directions</td>
<td>13 10.69 (2.29) 13 7.62 (4.77)</td>
<td>2.10</td>
<td>.051</td>
<td>.77</td>
<td></td>
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<td>NEPSY Auditory Attention</td>
<td>14 11.14 (1.96) 15 7.47 (4.66)</td>
<td>2.80</td>
<td>.011</td>
<td>.98</td>
<td></td>
</tr>
<tr>
<td>WASI FSIQ (t-score)</td>
<td>13 114.62 (8.17) 13 97.54 (19.23)</td>
<td>2.95</td>
<td>.009</td>
<td>1.11</td>
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<td>BASC (std. score)</td>
<td>14 44.29 (5.47) 15 61.8 (4.57)</td>
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<td>-3.38</td>
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<tr>
<td>SCQ (total score)</td>
<td>14 1.43 (1.95) 15 18.60 (7.53)</td>
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<tr>
<td>ADOS Total</td>
<td>15 7.90 (2.85)</td>
<td></td>
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</table>

Note. FSIQ, Full Scale IQ measure from the Wechsler Abbreviated Scale of Intelligence-2; CTOPP, Comprehensive Test of Phonological Processing; TOPS, Test of Problem Solving; CELF, Clinical Evaluation of Language Fundamentals; NEPSY, Developmental Neuropsychological Assessment; BASC, Behavior Assessment System for Children; SCQ, Social Communication Questionnaire.
Table 2. Results of an ANOVA comparing mean amplitude across group (ASD and NT), syllable surprisal, passage repetitions, regions of interest, and time-windows.

<table>
<thead>
<tr>
<th>Main Effects</th>
<th>df, residual</th>
<th>F</th>
<th>p</th>
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<td>.000</td>
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<td>Time Window</td>
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<td>1.21</td>
<td>.298</td>
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<tr>
<td>Repetition</td>
<td>2</td>
<td>11.44</td>
<td>.000</td>
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<td>ROI</td>
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<td>64184</td>
<td>.000</td>
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<tr>
<td>Group</td>
<td>1, 27</td>
<td>0.01</td>
<td>.921</td>
</tr>
</tbody>
</table>

Two-Way Interaction

| Surprisal x Time Window       | 2           | 0.19 | .823 |
| Surprisal x Repetition        | 2           | 0.70 | .494 |
| Time Window x Repetition      | 4           | 0.13 | .971 |
| Surprisal x ROI              | 3           | 9.82 | .000 |
| Time Window x ROI            | 6           | 0.47 | .827 |
| Repetition x ROI             | 6           | 4.32 | .001 |
| Surprisal x Group            | 1           | 4.59 | .032 |
| Time Window x Group          | 2           | 0.03 | .966 |
| Repetition x Group           | 2           | 1.81 | .164 |
| ROI x Group                  | 3           | 6.95 | .001 |

Three-Way Interaction

| Surprisal x Time Window x Repetition | 4       | 0.13 | .972 |
| Surprisal x Time Window x ROI       | 6       | 0.06 | .999 |
| Surprisal x Repetition x ROI        | 6       | 0.85 | .531 |
| Time Window x Repetition x ROI      | 12      | 0.07 | .999 |
| Surprisal x Time Window x Group     | 2       | 0.29 | .752 |
| Surprisal x Repetition x Group      | 2       | 3.09 | .046 |
| Time Window x Repetition x Group    | 4       | 0.22 | .926 |
| Surprisal x ROI x Group             | 3       | 0.68 | .566 |
| Time Window x ROI x Group           | 6       | 0.02 | .999 |
| Repetition x ROI x Group            | 6       | 0.44 | .853 |

Four-Way Interaction

| Surprisal x Time Window x Repetition x ROI | 12     | 0.09 | .999 |
| Surprisal x Time Window x Repetition x Group | 4     | 0.17 | .955 |
| Surprisal x Time Window x ROI x Group      | 6     | 0.13 | .992 |
| Surprisal x Repetition x ROI x Group       | 6     | 4.32 | .001 |
| Time Window x Repetition x ROI x Group     | 12     | 0.04 | .999 |

Five-Way Interaction

| Surprisal x Time Window x Repetition x ROI x Group | 12 | 0.11 | .999 |